

**BUILDING DESIGN AND ENGINEERING APPROACHES  
TO AIRBORNE INFECTION CONTROL  
AUGUST 2, 2012 – Harvard School of Public Health**

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Natural Ventilation for  
Infection Control in Health Care Settings:

# Theory

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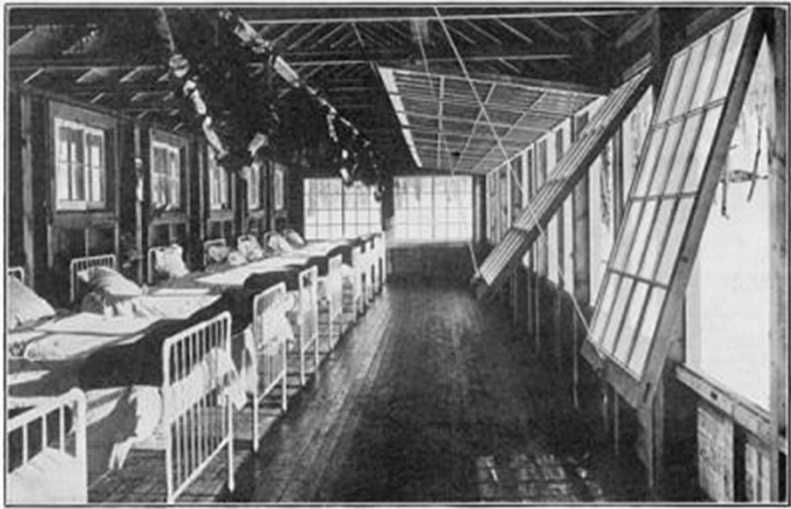
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# Natural Ventilation for Infection Control in Health Care Settings

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# Principles of ventilation and infection control

(source: Nielsen, 2009)

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<b>Ventilation systems</b>	<b>Room air distribution system</b>
<b>Mechanical ventilation</b>	<b>Mixing ventilation</b>
	<b>Vertical ventilation</b>
	<b>Displacement ventilation</b>
	<b>Personalized ventilation</b>
<b>Natural ventilation</b>	<b>Mixing ventilation</b>
	<b>Displacement ventilation</b>

# Keys to Natural Ventilation for Infection Control in Healthcare Settings

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- **Air change rate**
  - Ensure adequate average flow and minimum flow specifications are met
  - Approximate measurements under all weather and building operational conditions
  - Measurements , Verification
- **Air distribution:**
  - Flow direction:
    - Away from infected - verify
    - Ensure and verify consistency under all ventilation regimes
  - Flow of infectious agents directly out of building
  - Avoid flow toward other patients, especially susceptibles
- **Management plan**

# Natural Ventilation: Theory Definitions

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Purpose of ventilation: What is ventilation? Natural (Passive), Mechanical

Types of natural ventilation (Driving forces):

- Buoyancy (stack effect; thermal)
- Pressure driven (wind driven; differential pressure)

Applications

- Supply of outdoor air – removal of pollutants (e.g., infectious agents)
- Convective cooling
- Physiological cooling

Issues

- Weather-dependence: wind, temperature, humidity
- Thermal conditions, comfort/health
- Outdoor air quality/pollution
- Immune compromised patients
- Building configuration (plan, section)
- Management of openings
- Measurement and verification

# What is ventilation?

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Definitions covering ventilation and the flow of air into and out of a space include:

- **Purpose provided (intentional) ventilation:** Ventilation is the process by which 'clean' air (normally outdoor air) is intentionally provided to a space and stale air is removed. This may be accomplished by natural or mechanical means.
- **Air infiltration and exfiltration:** In addition to intentional ventilation, air inevitably enters a building by the process of 'air infiltration'. This is the uncontrolled flow of air into a space through adventitious or unintentional gaps and cracks in the building envelope. The corresponding loss of air from an enclosed space is termed 'exfiltration'.

# Three elements of ventilation

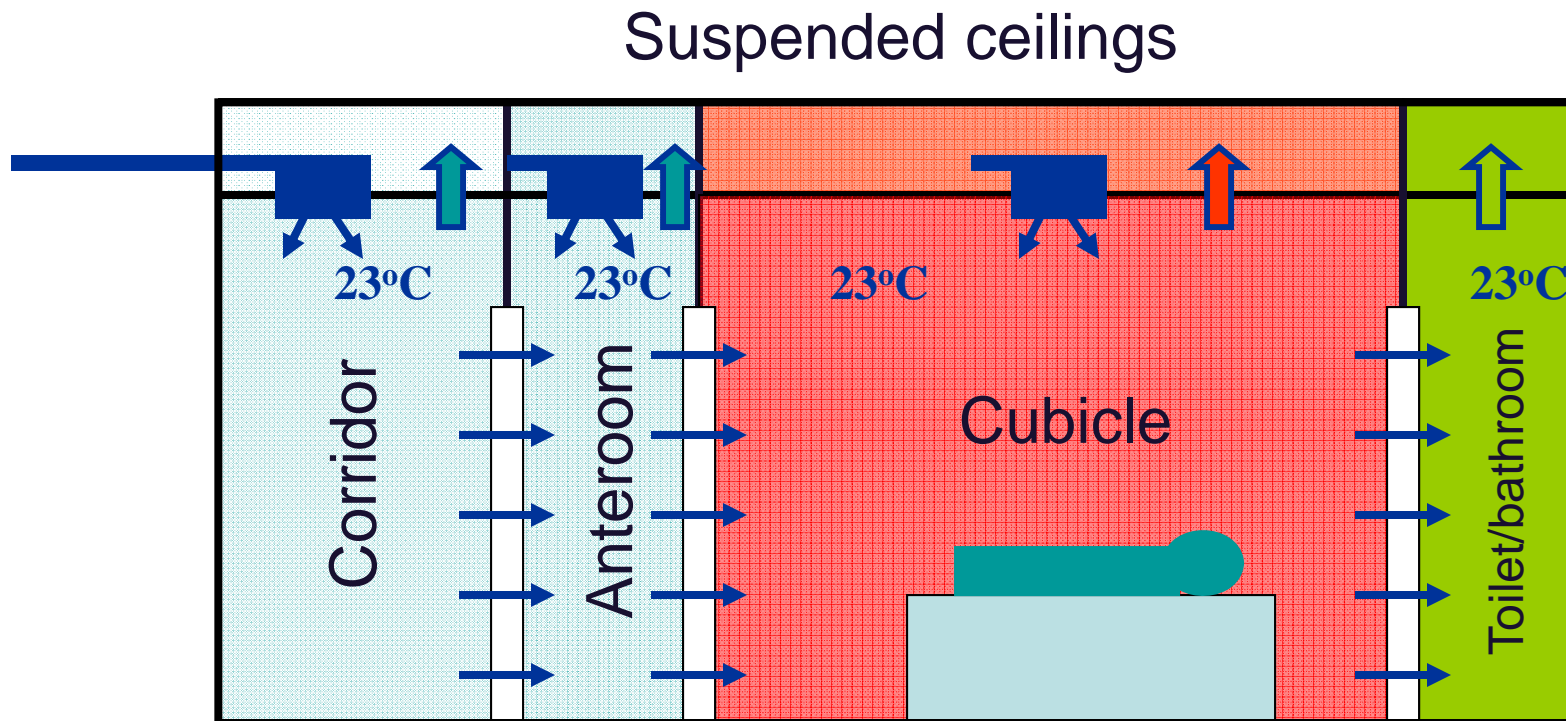
(source: Yuguo Li, personal communication)

Element	Description	Requirements/ Guideline	Design or Operation	Buildings	Cities
Primary	External air flow rate	Minimum ACH Minimum L/s-p	Fan, duct, openings or streets	ASHRAE 62  1-12 ACH	?
Secondary	Overall flow direction between zones	Flow clean to “dirty” spaces	Pressure control through airflow imbalance Prevailing winds	Positive/ negative 2.5-15 Pa Isolation/ smoke control	Dirty industry downwind  Buy upwind
Tertiary	Air distribution within a space	Ventilation effectiveness, no short- circuiting	Use of CFD Smoke visualization	Ventilation strategies	Urban planning



# Isolation room ventilation

Goal: ~12 ach or 160 l/s-p (?)



The purpose is Not to have a 2.5 Pa negative pressure, but no air leaks to the corridor!

Recommended negative pressure is – 10 Pa with wind, -2.5 Pa without wind

# NatVent for healthcare

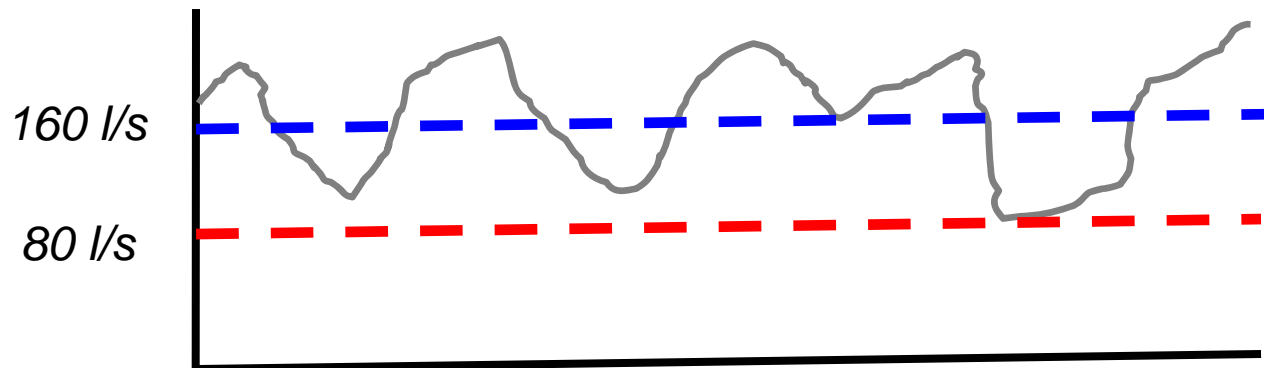
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- Intake/reception areas
  - Administrative measures, Triage potential cases
- General areas
  - Ensure at least 2 air changes per hour (ACH)
- Patient rooms
  - Ensure at least 2 air changes per hour (ACH), one-pass
- Isolation rooms/wards
  - Ensure 6 ACH, design and operate for 12 ACH, 160 L/s-p
  - Vent to outside; Ideally a free-standing structure or unconnected directly to other areas
- Procedure rooms
  - Always ventilated to outside, Free-standing if possible

# WHO 2009 NatVent Guideline – key ideas

Courtesy of Yuguo Li

- For natural ventilation, a minimum hourly averaged ventilation rate of 160 L/s/patient for airborne precaution rooms (with a minimum of 80 L/s/patient).



- When natural ventilation alone cannot satisfy the requirements, mechanically assisted natural ventilation system should be used.
- Overall airflow should bring the air from the agent sources to areas where there is sufficient dilution, and preferably to the outdoors.

# HB2009 Seven conjectures

(source: Yuguo Li, HB2009, Syracuse, Sept 2009)

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1. *Ventilation can reduce infection risk in a room.*
2. ***Airflow can transport infection risk from one location to another.***
3. *Airflow can reduce infection risk at source.*
4. ***Infection risk quickly reduces as moving away from the source in fully mixing ventilated rooms.***
5. ***There exists a certain ventilation rate value **below which** the overall infection risk is more significant than that at no ventilation.***
6. *There exists a certain ventilation rate **above which** the reduction of overall infection risk is insignificant as compared to other control methods.*
7. *There exists a certain ventilation rate above which the overall **infection risk is absent.***

# Types of natural ventilation

## Stack effect (buoyancy)

- Warm air is lighter (less dense) than cold air
- Warm air rises, cold air falls
- Intentional chimneys (stacks) can create larger differences between top and bottom, increasing the air flow rate



## Wind-driven (pressure)

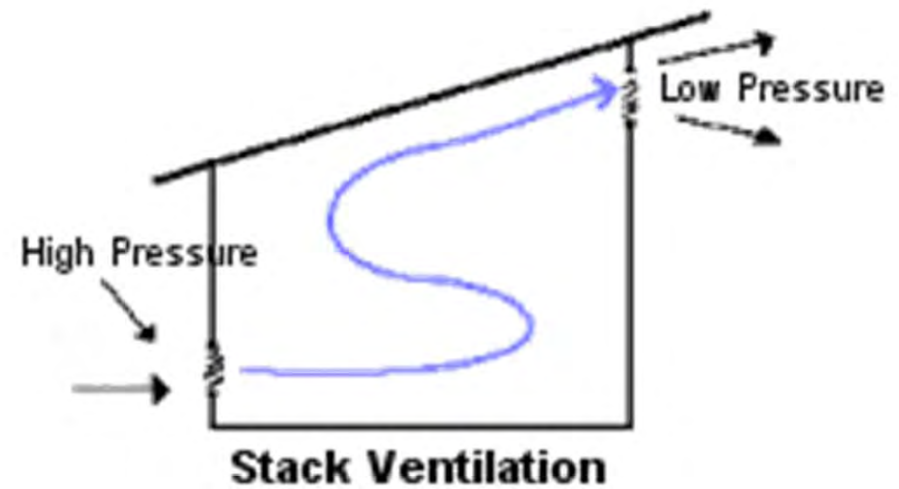
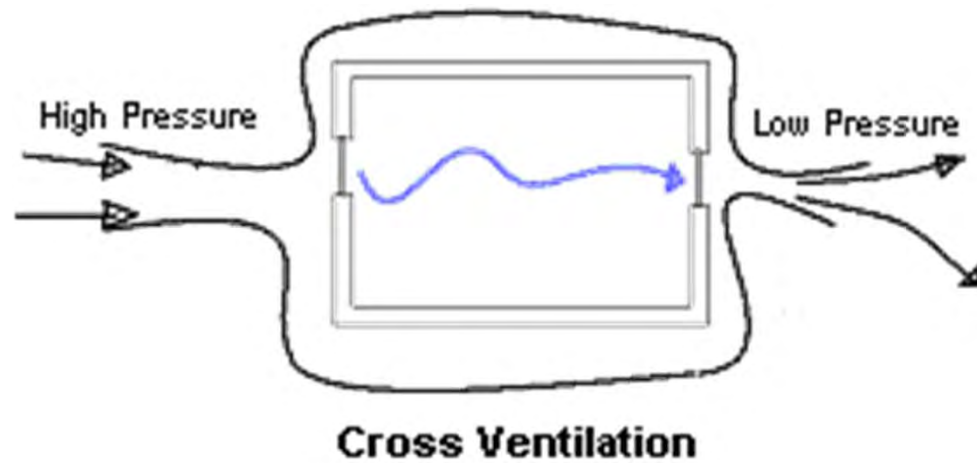
- Pressure differences result in air mass movement
- “Packets” of air flow from higher to lower air pressure regimes





# Wind driven vs. Stack effect

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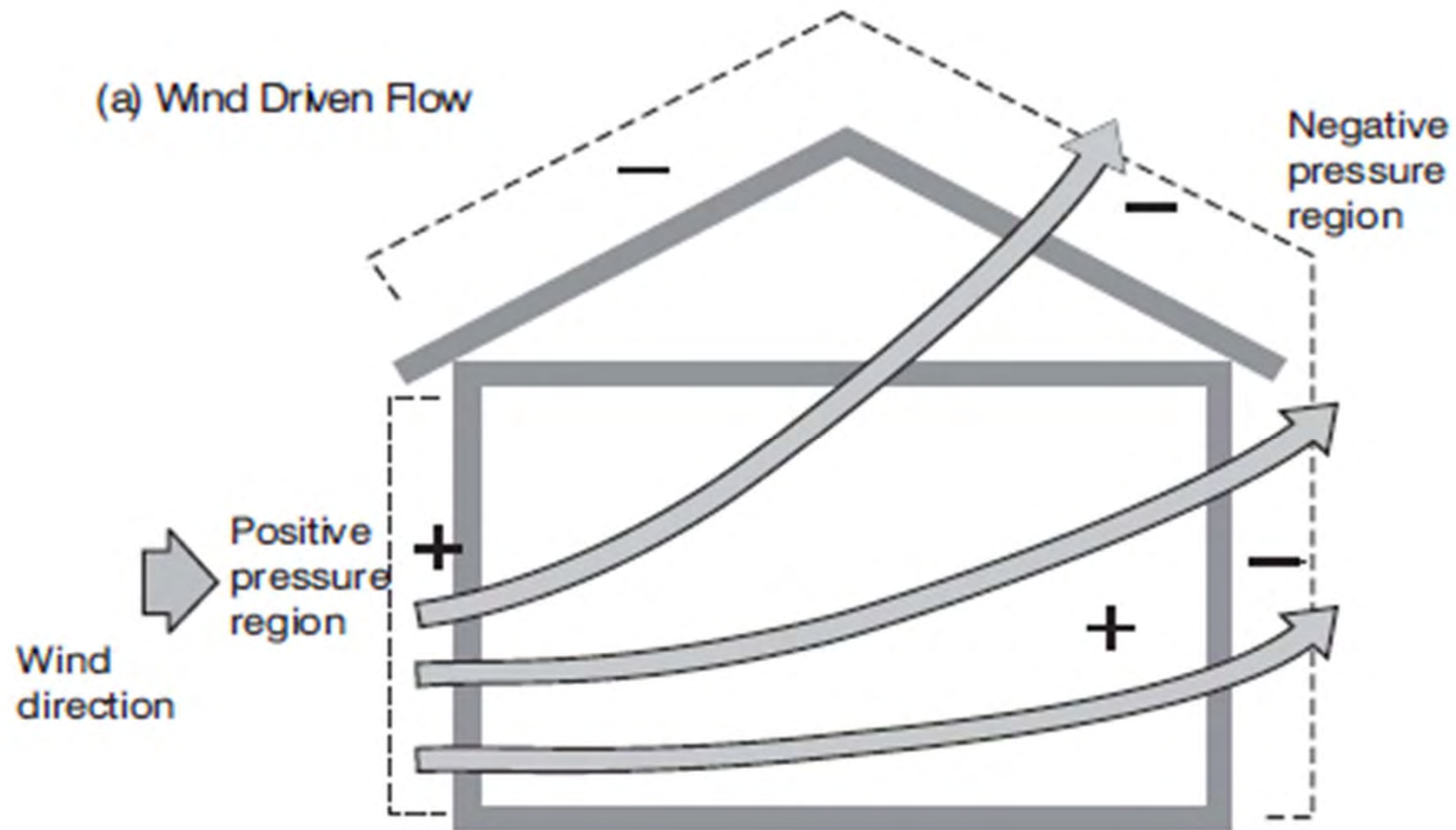


# Natural Driving Mechanisms – Pressure: Wind-driven air flow

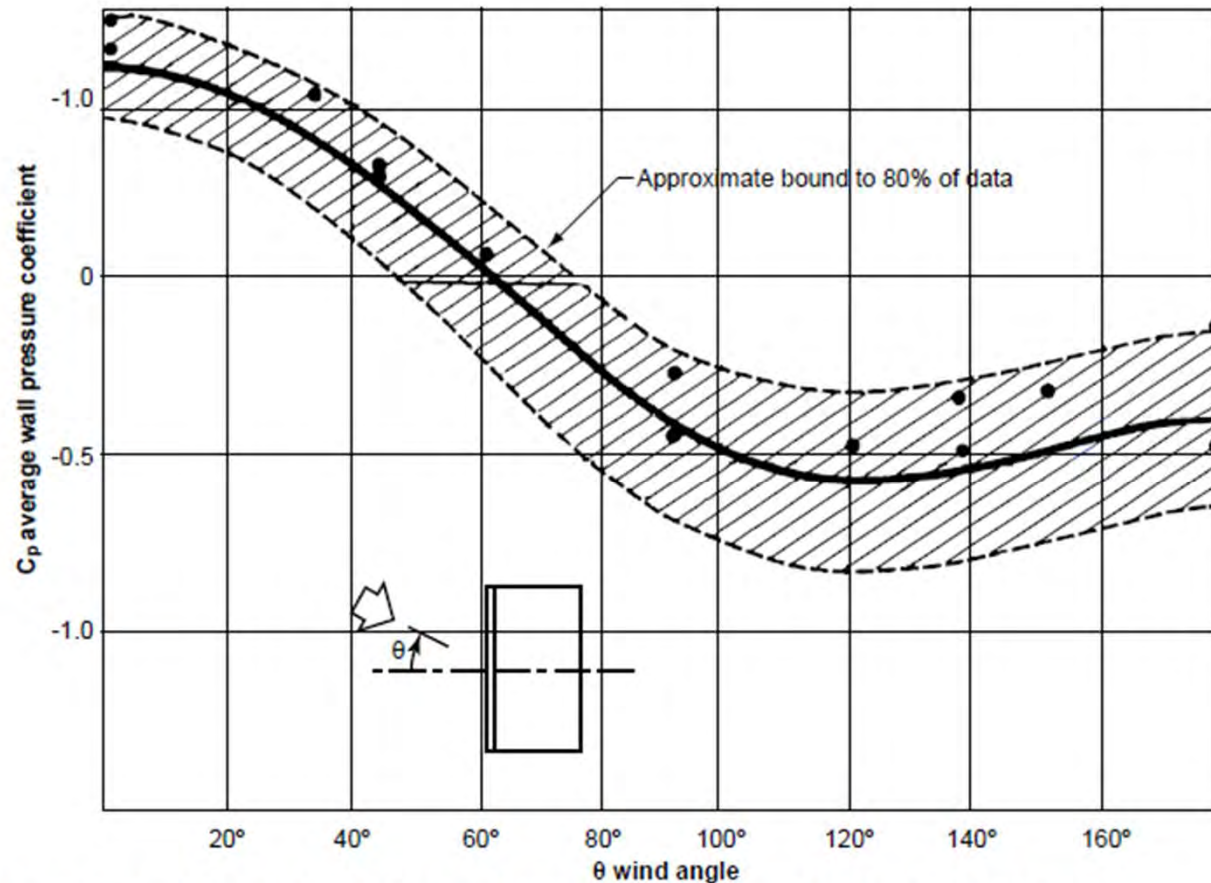


# Natural Driving Mechanisms – Pressure: Wind-driven air flow

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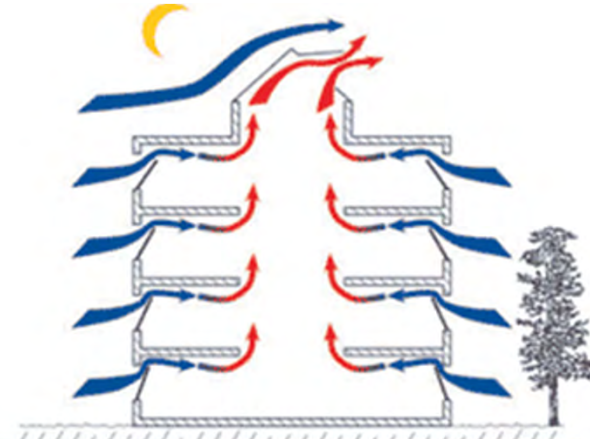
# Wind Pressure as a function of angle of incidence on wall



**Figure 3. Typical Wall-averaged Wind pressure Coefficients for Low-rise Buildings**  
(Swami & Chandra, 1987)

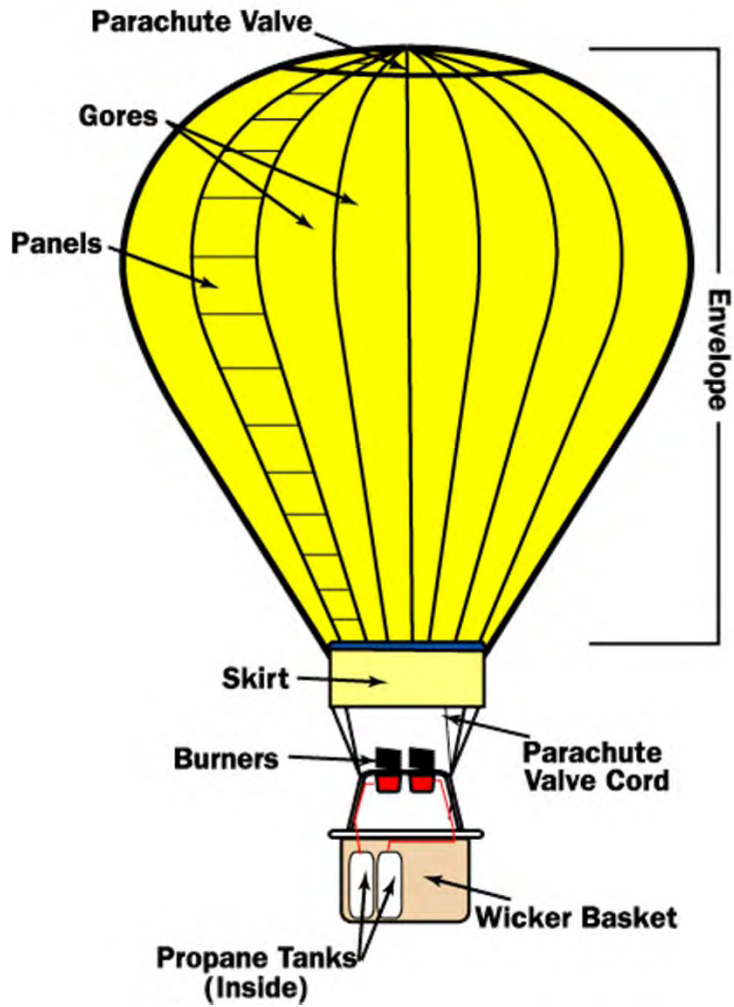


# Natural driving mechanisms -- Buoyancy Stack effect



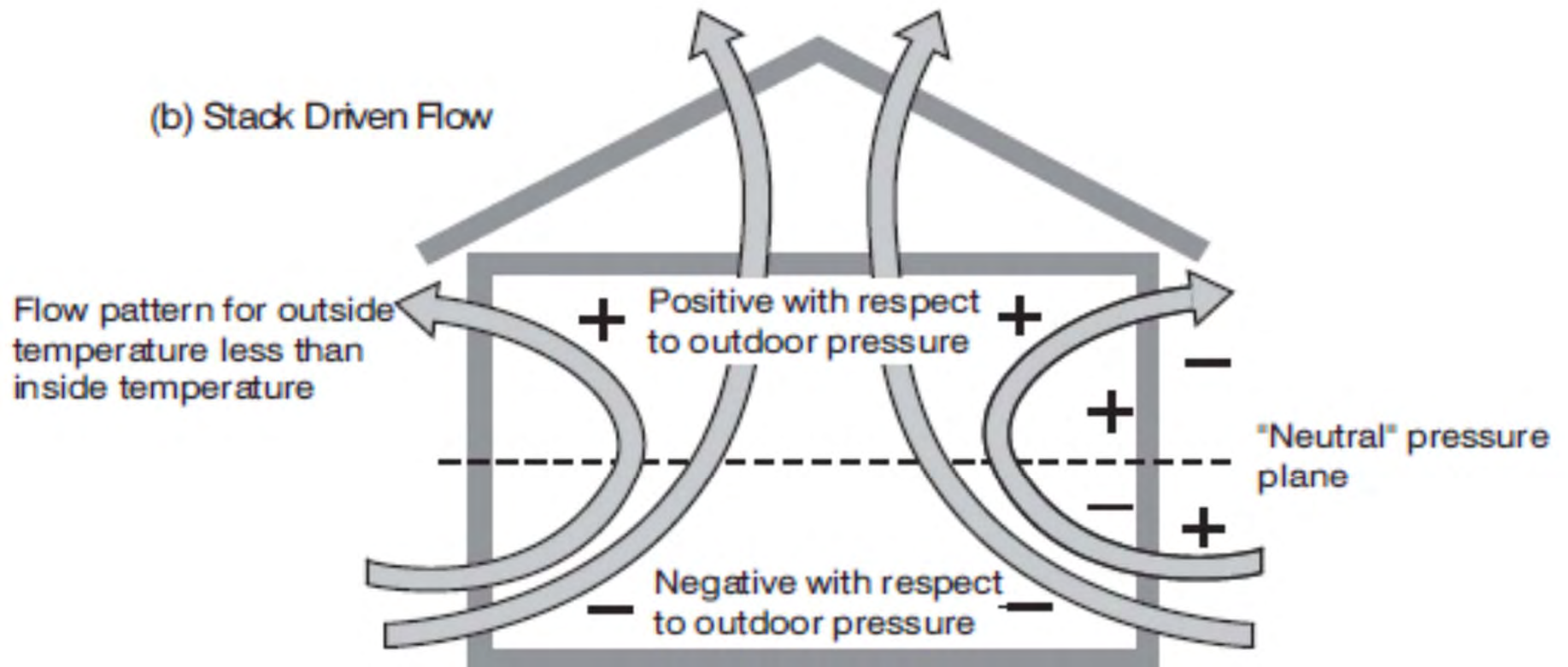


# Hot air = buoyancy



# Natural driving mechanisms -- Buoyancy Stack effect

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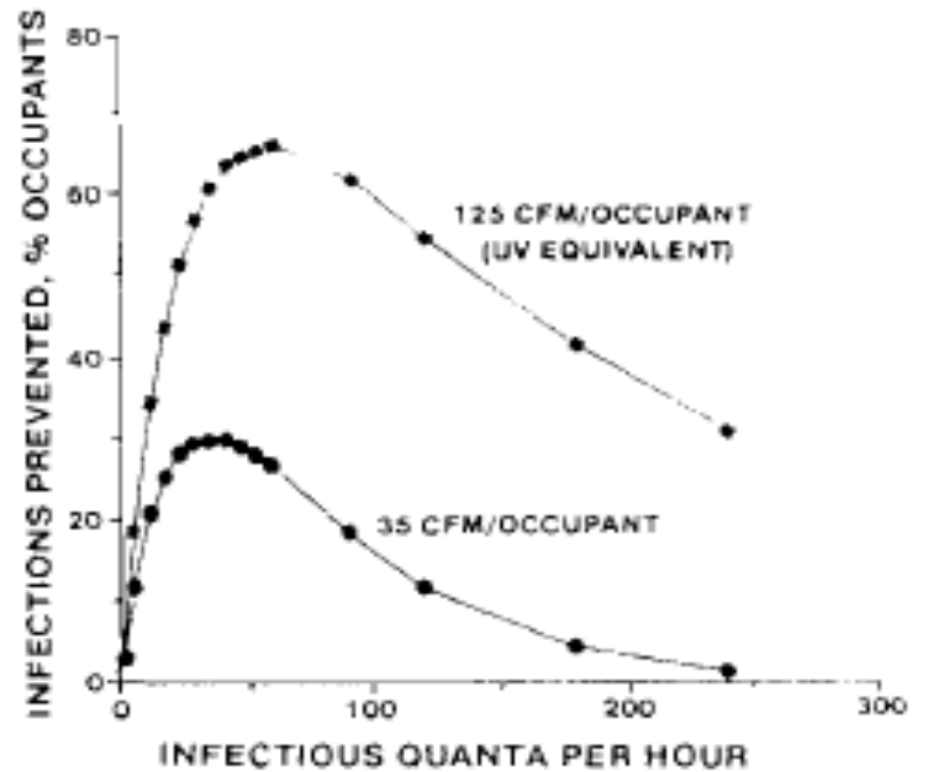
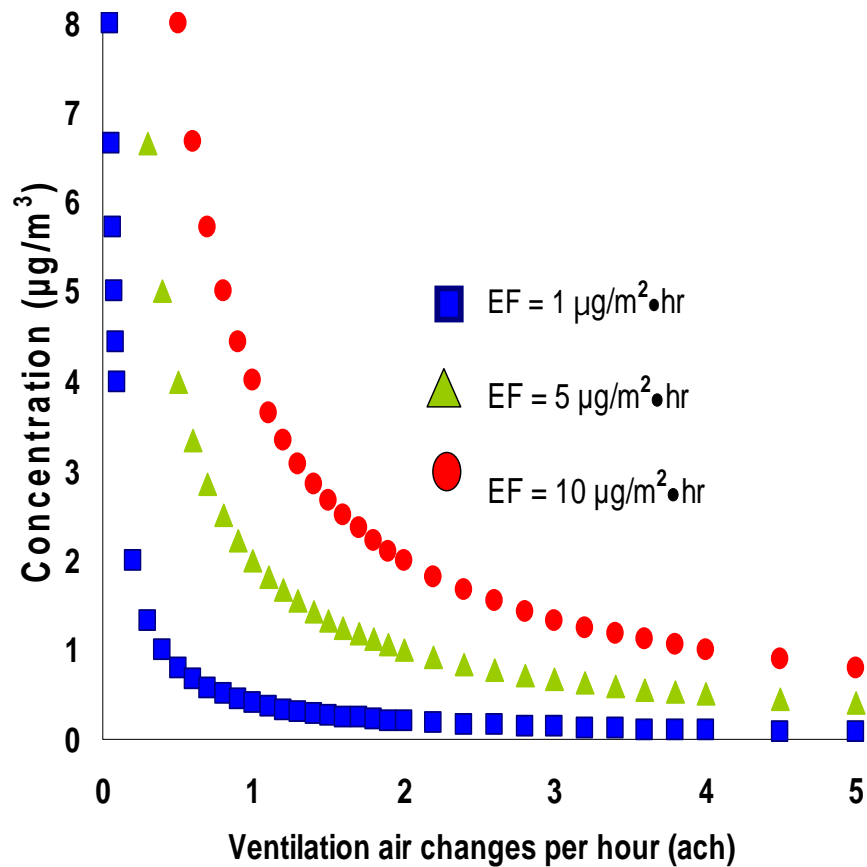


# Applications: Supply of outdoor air

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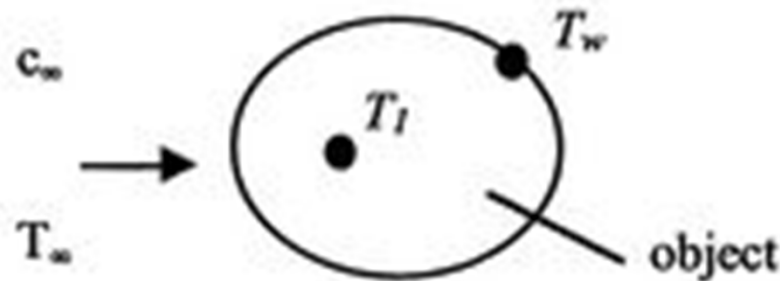
- Supply of outdoor air ... removal of pollutants
  - In air changes per hour (AER or  $h^{-1}$ ) or liters per second per person (l/s-p)
  - What happens if you have a very tall space?
- Pollutant concentration = source strength/removal rate
  - Removal rate includes dilution/exhaust plus deposition on surfaces or chemical interactions/transformation
  - Chemicals: source strength expressed as mg of pollutant /  $m^2$ -h or mg/h
  - Dilution/exhaust rate expressed as dilution ventilation (air changes per hour, ach, AER,  $h^{-1}$ )
  - Removal rate (“Deposition velocity”:  $gcm^{-1}s^{-1}$ )

# Pollutant concentration as a function of outdoor air exchange rate



# Applications: Convective cooling

- **convection** /con-vec-tion/ (kon-vek'shun) the act of conveying or transmission, specifically transmission of heat in a liquid or gas by bulk movement of heated particles to a cooler area.
- Air flow around a person can be caused by the higher temperature of the person's skin relative to the air around it, giving rise to an air flow known as the "thermal plume," air movement predominantly in an upward direction.
- Or, it may be caused by forced air movement, as from a fan or wind.

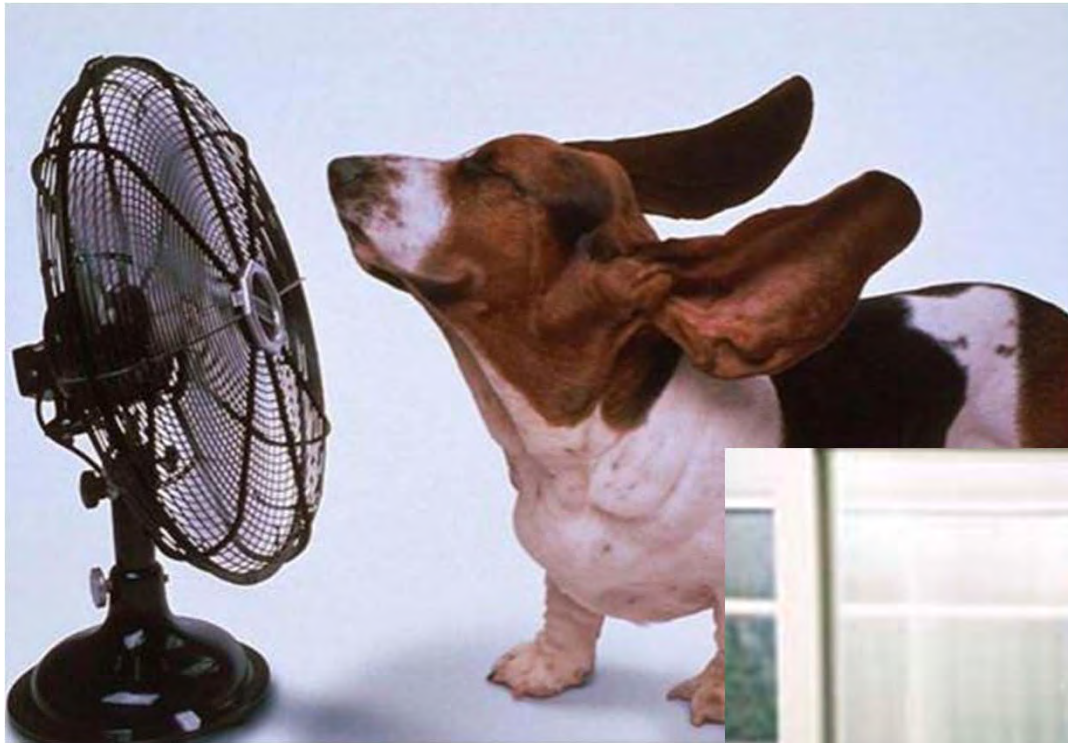


Temperature variation in an object cooled by a flowing liquid



# Convective cooling

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# Physiological cooling

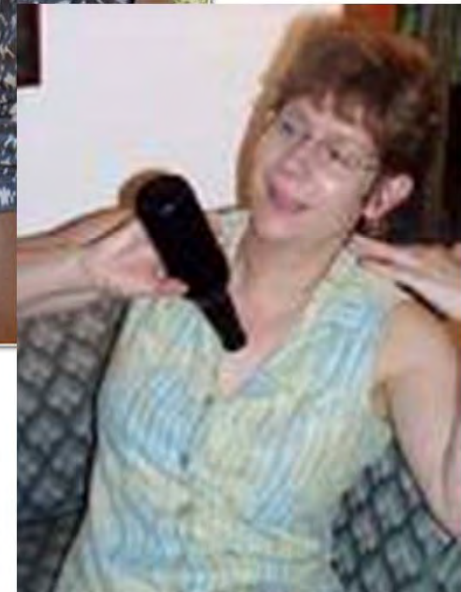
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# Physiological cooling

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# Applications: Physiological cooling

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## “Ectothermic cooling”

- Vaporization:
  - Getting wet in a river, lake or sea.
- Convection:
  - Entering a cold water or air current.
  - Building a structure that allows natural or generated air flow for cooling.
- Conduction:
  - Lie on cold ground.
  - Staying wet in a river, lake or sea.
  - Covering in cool mud.
- Radiation:
  - Find shade.
  - Enter a cave or hole in the ground shaped for radiating heat (Black box effect).
  - Expand folds of skin.
  - Expose skin surfaces.

# Convective + Physiological cooling

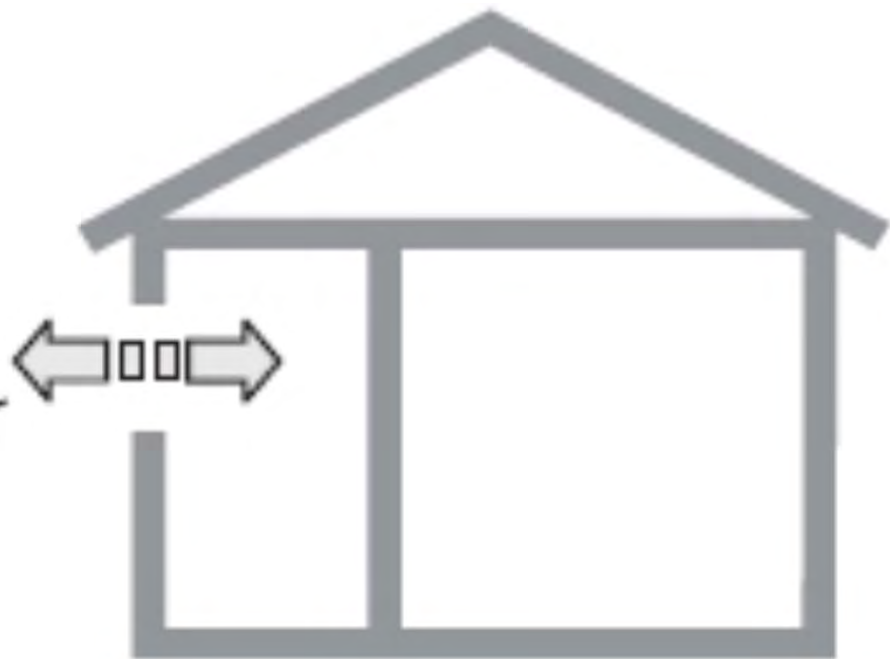




# Single-sided ventilation

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Air exchange is driven by turbulent fluctuations. Ventilation rates can be very small unless openings are large



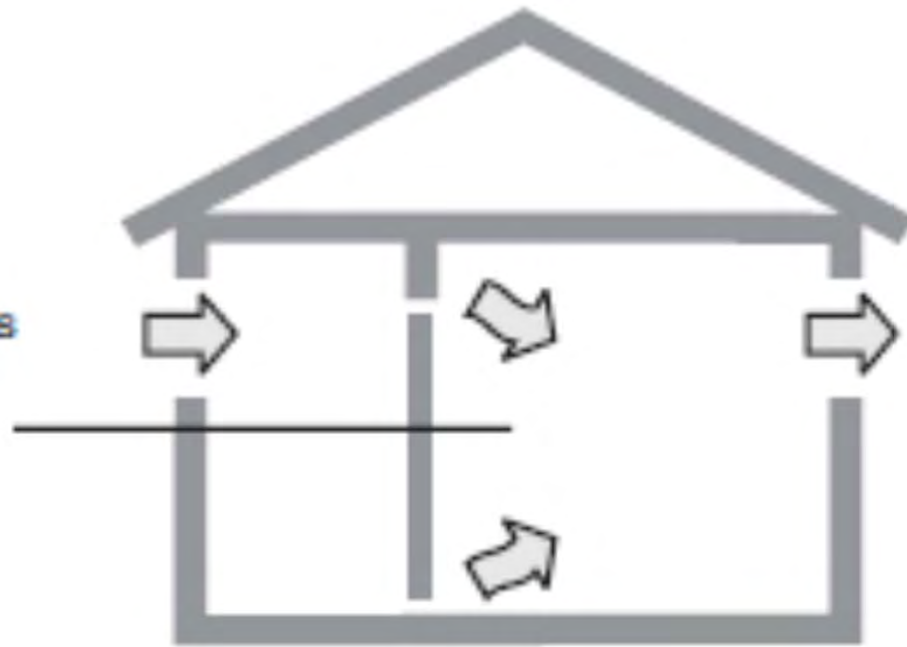
(a) Single sided - sealed enclosure



# Cross-flow ventilation

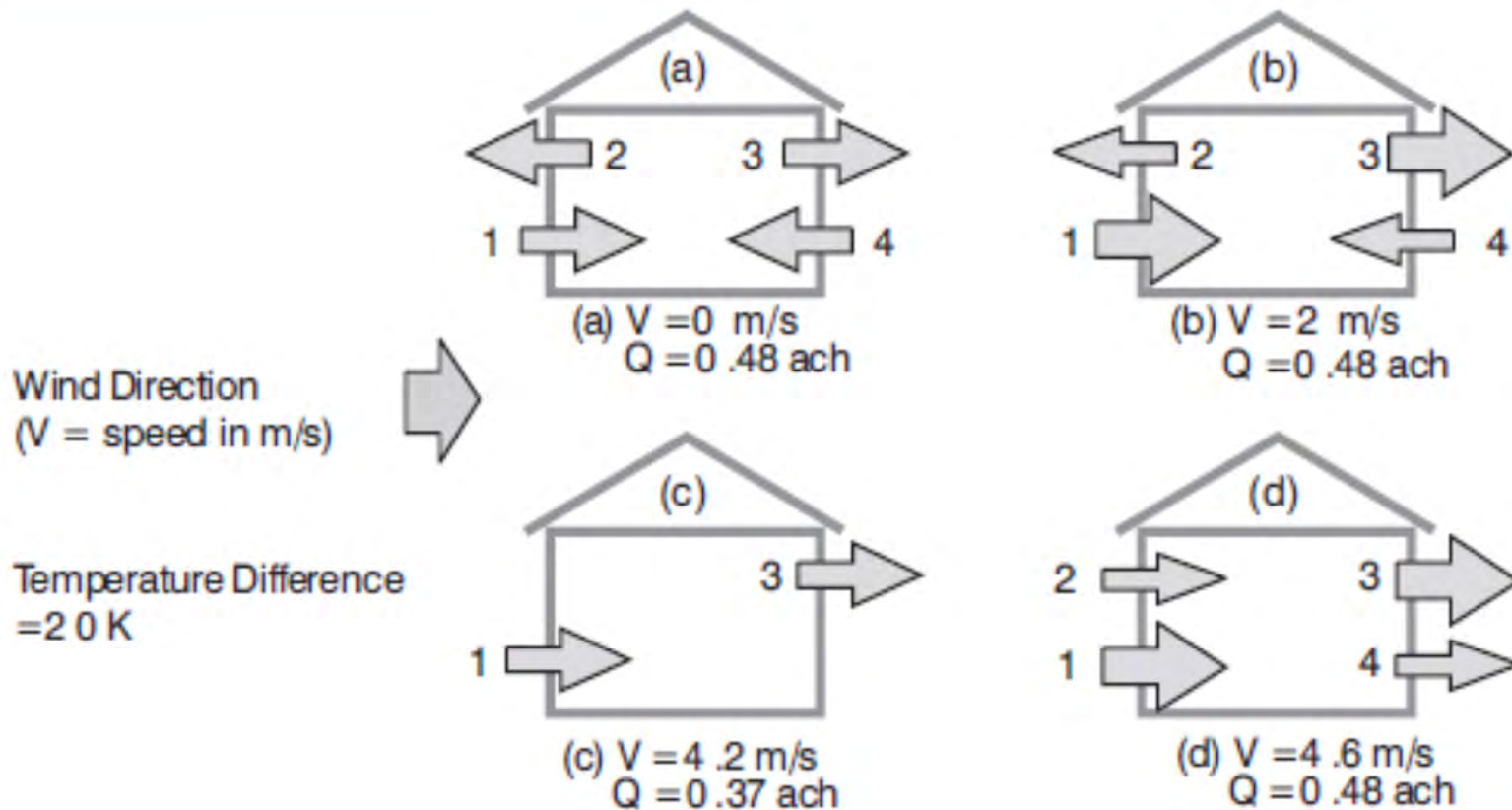
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Cross flow ventilation takes place through internal leakage paths or internal doors



(a) 'Single sided' unsealed enclosure

# Influence of wind and temperature (stack effect) on ventilation and air flow pattern

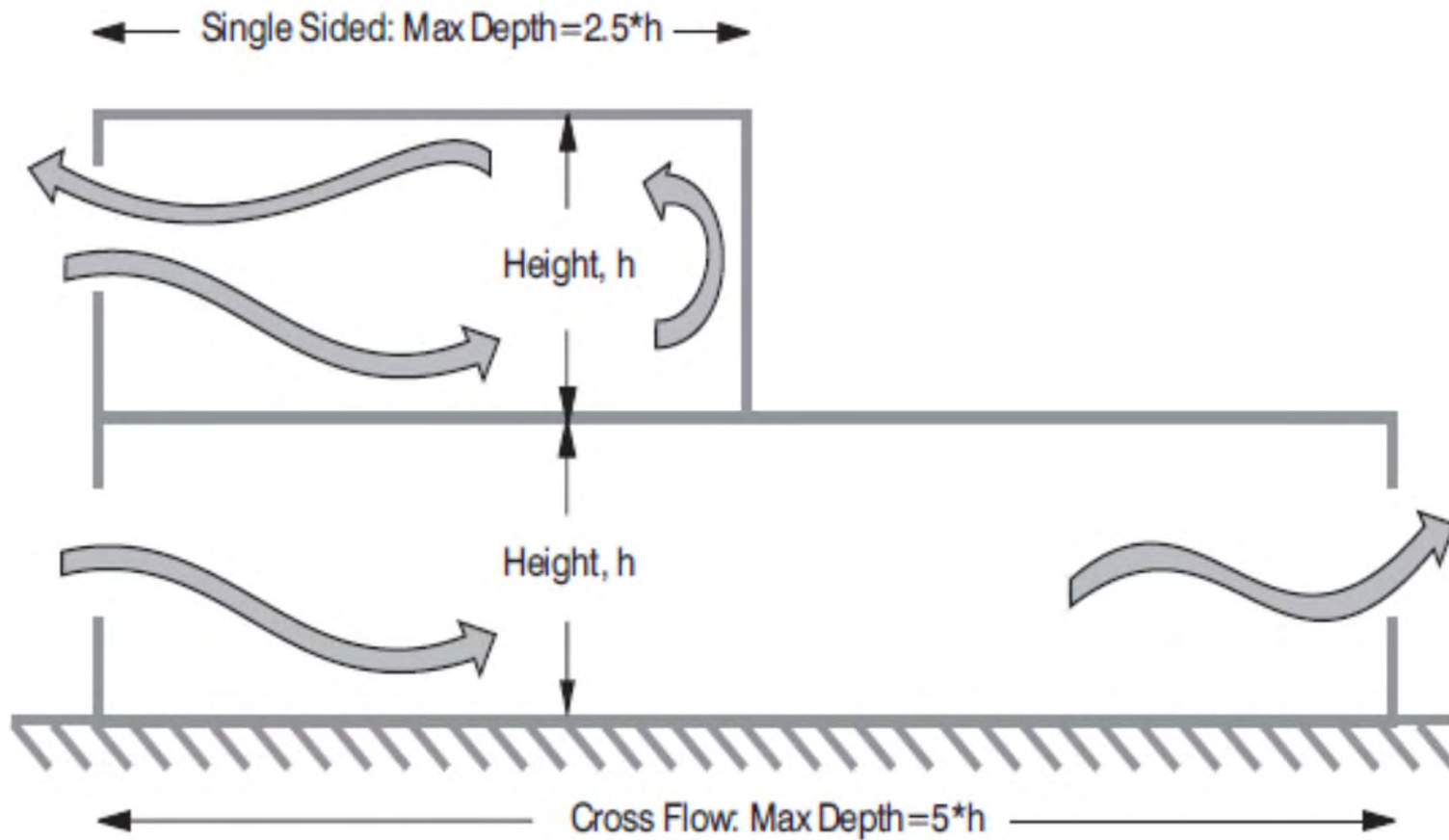


Influence of wind and temperature (stack effect) on ventilation rate and air flow pattern

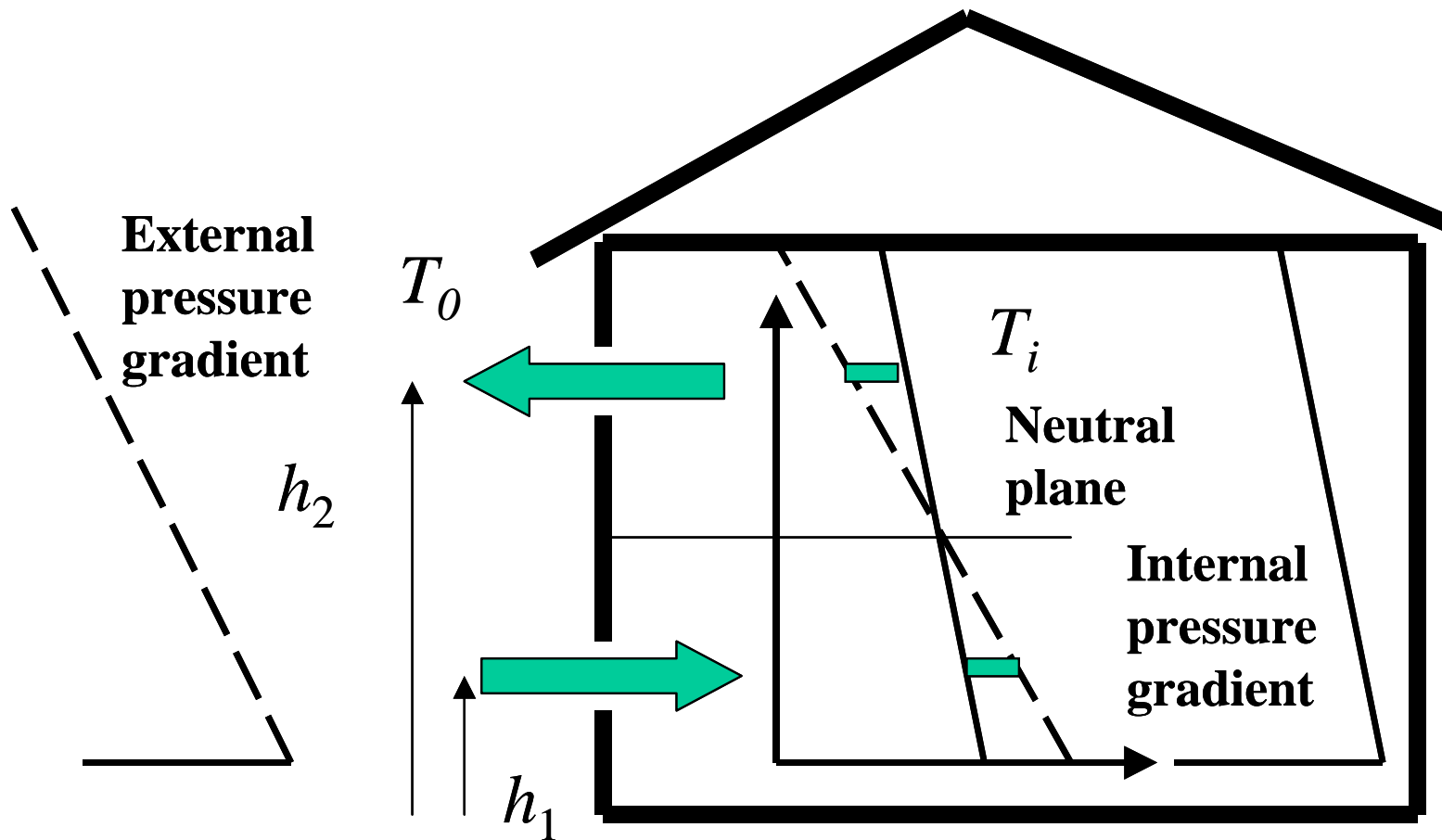
(source: AIVC, 2009)

# Single-sided vs. Cross flow ventilation

(source: AIVC, 2009)

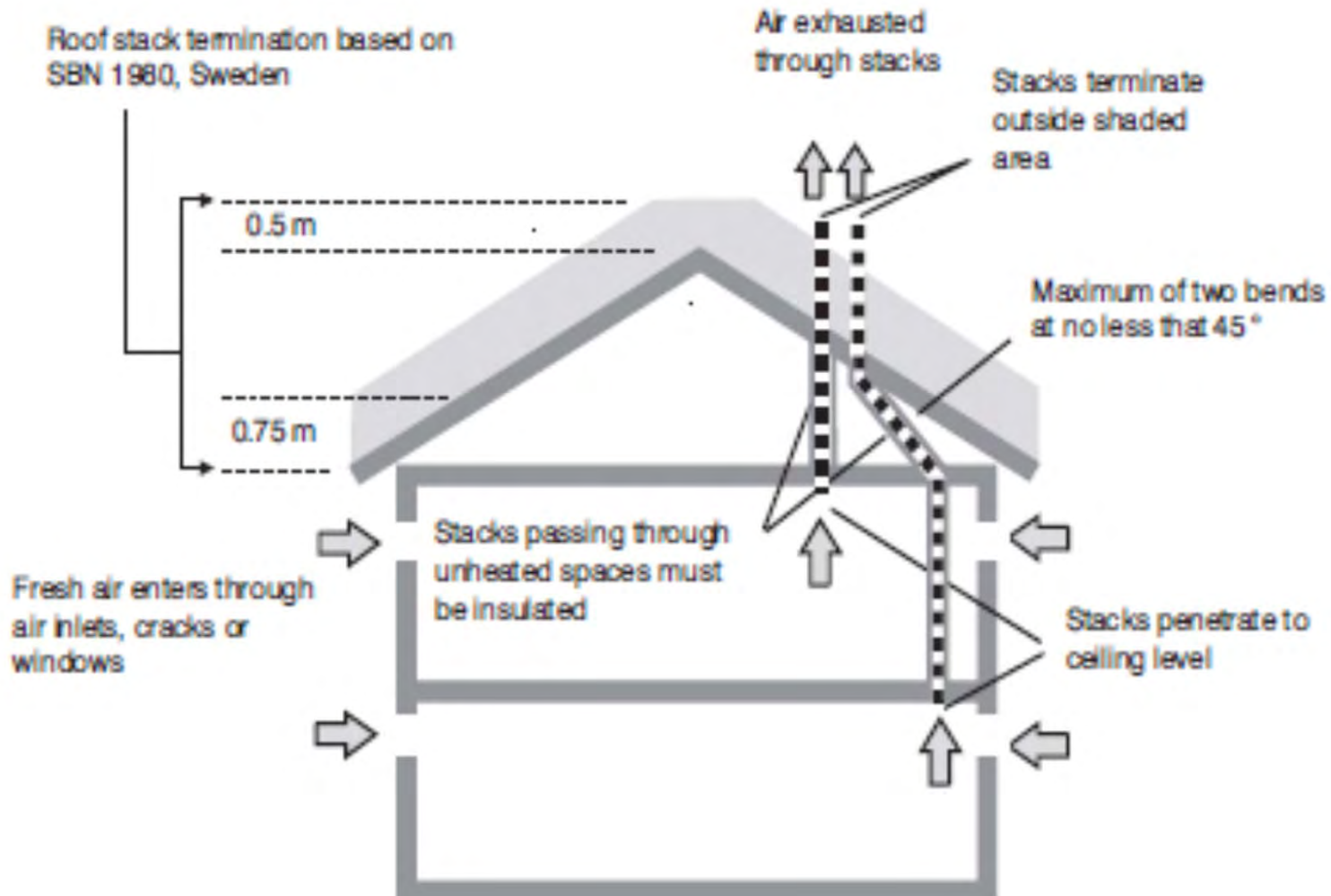


# Concept of the neutral level



# Stack ventilation (dwellings)

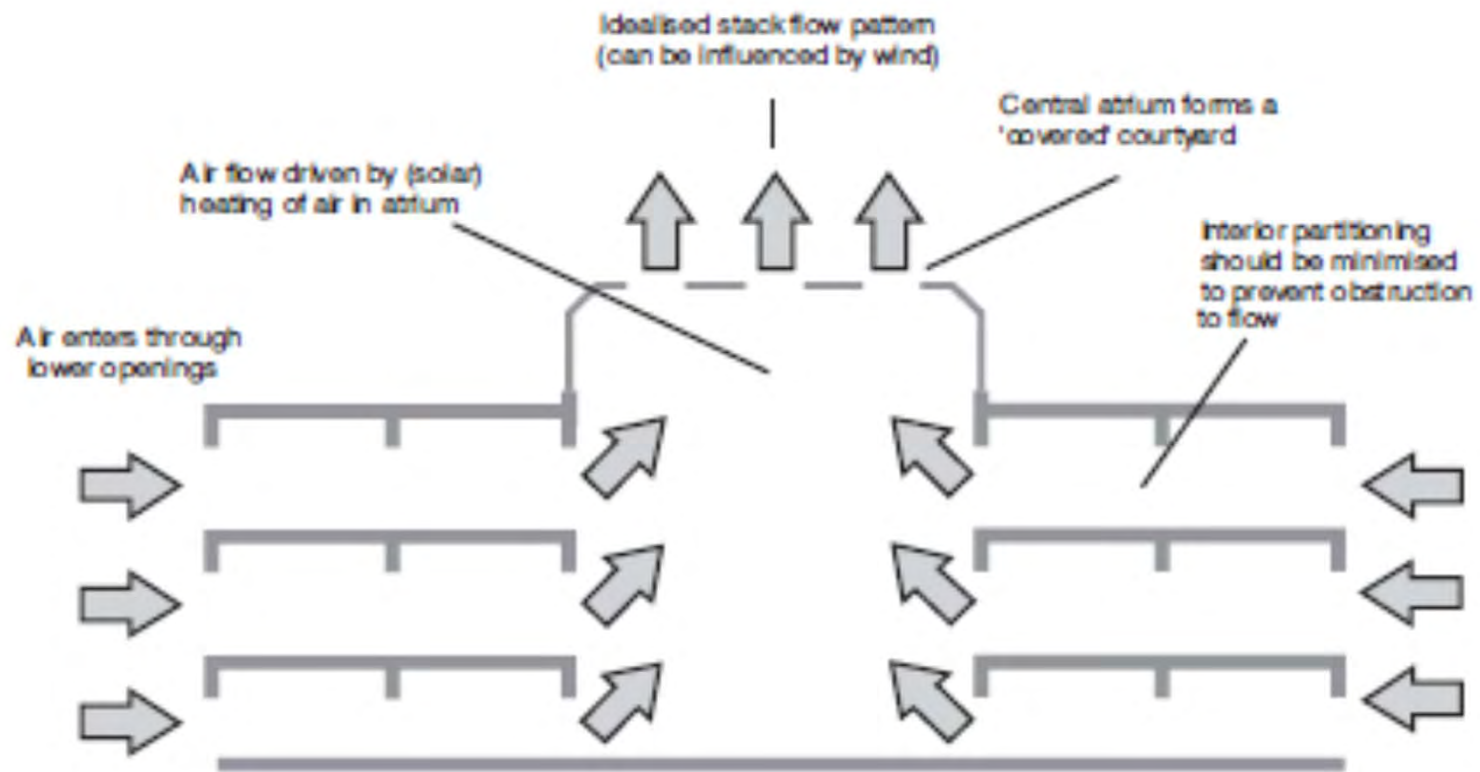
(source: AIVC 2009)



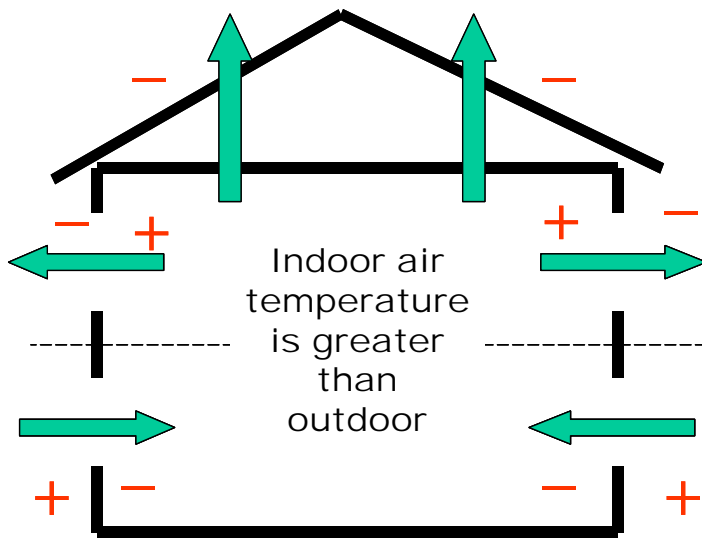


# Stack ventilation (atrium)

(source: AIVC 2009)



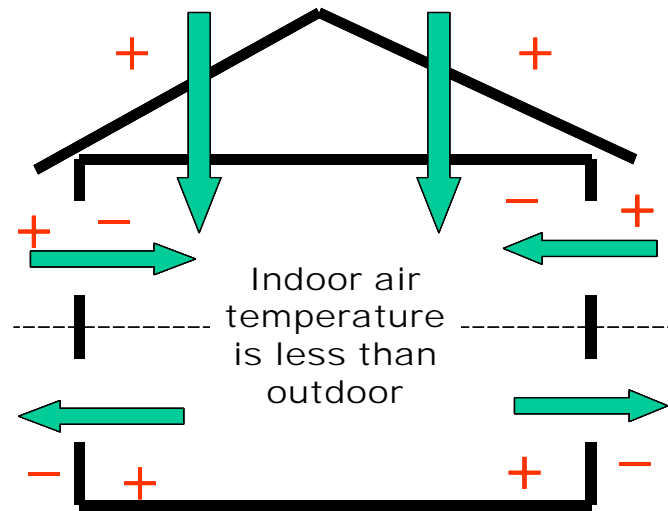
# Stack driving flows in a building



(A)

*Indoor air warmer than outdoor*

Neutral pressure plane



(B)

*Indoor air cooler than outdoor*

Neutral pressure plane

# Natural ventilation in buildings

By Francis Allard, Mat Santamouris, Servando Alvarez, European Commission.

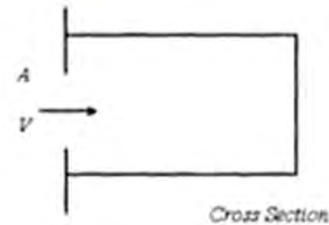
Directorate-General for Energy, ALTENER Program

Table 3.1. Formulae for single-sided ventilation [1]

(a) **Ventilation** due to wind

$$Q = 0.025AV$$

where  $A$  is the opening surface and  $V$  is the wind velocity.

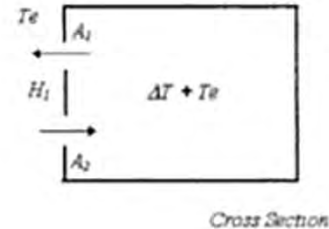


(b) **Ventilation** due to temperature difference with two openings

$$Q = C_d A \left[ \frac{\varepsilon \sqrt{2}}{(1 + \varepsilon)(1 + \varepsilon^2)^{1/2}} \right] \left( \frac{\Delta T g H_1}{T} \right)$$

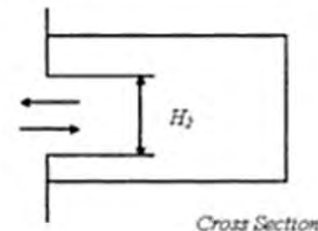
$$\varepsilon = A_1 / A_2, \quad A = A_1 + A_2$$

where  $C_d$  is the discharge coefficient



(c) **Ventilation** due to temperature difference with one opening:

$$Q = C_d \frac{A}{3} \sqrt{\frac{\Delta T g H_2}{T}}$$



[http://books.google.com/books?hl=en&lr=&id=1tdQMyhPA2gC&oi=fnd&pg=PR9&dq=Natural+ventilation+theory&ots=mFzmf4mct&sig=XA3zksH\\_OBkkS8tILbxmwJqbWyo](http://books.google.com/books?hl=en&lr=&id=1tdQMyhPA2gC&oi=fnd&pg=PR9&dq=Natural+ventilation+theory&ots=mFzmf4mct&sig=XA3zksH_OBkkS8tILbxmwJqbWyo)

# Natural ventilation in buildings

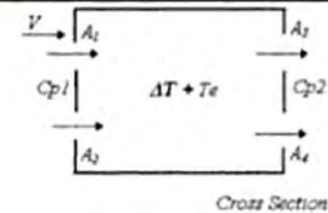
Francis Allard, Mat Santamouris, Servando Alvarez, European Commission. Directorate-General for Energy, ALTENER Program

Table 3.2. Formulae for cross ventilation [1]

(a) **Ventilation** due to wind only:

$$Q_w = C_d A_w V \sqrt{\Delta C_p}$$

$$\frac{1}{A_w^2} = \frac{1}{(A_1 + A_2)^2} + \frac{1}{(A_3 + A_4)^2}$$

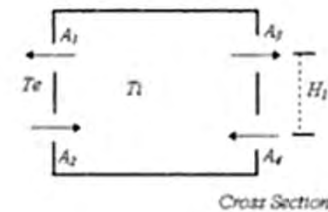


(b) **Ventilation** due to temperature difference only:

$$Q_b = C_d A_b \left( \frac{2\Delta T g H_1}{T} \right)^{0.5}$$

$$\frac{1}{A_b^2} = \frac{1}{(A_1 + A_3)^2} + \frac{1}{(A_2 + A_4)^2}$$

$$T = \frac{T_e + T_i}{2}$$

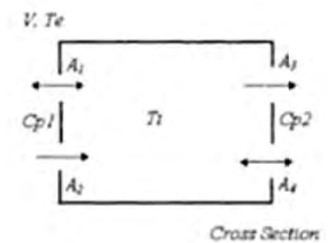


(c) **Ventilation** due to wind and temperature difference:

$$Q = Q_b \text{ for } \frac{V}{\sqrt{\Delta T}} < 0.26 \sqrt{\frac{A_b}{A_w} \frac{H_1}{\Delta C_p}}$$

$$Q = Q_w \text{ for } \frac{V}{\sqrt{\Delta T}} > 0.26 \sqrt{\frac{A_b}{A_w} \frac{H_1}{\Delta C_p}}$$

$$\Delta T = T_i - T_e$$



# Indoor air velocities for naturally ventilated spaces under different wind directions and different number of apertures and locations

Conditions	Width of aperture/ width of wall = 0.66		Width of aperture/ width of wall = 1	
	$V_{avg}$ (%)	$V_{max}$ (%)	$V_{avg}$ (%)	$V_{max}$ (%)
Single aperture in windward wall, wind direction perpendicular	13	18	16	20
Single aperture in windward wall, wind direction at an angle	15	33	23	36
Single aperture in leeward wall, wind direction at an angle	17	44	17	39
Two apertures in leeward wall, wind direction at an angle	22	56	23	50
One aperture in windward wall, another in adjacent wall, wind direction perpendicular to inlets	45	68	51	103
One aperture in windward wall, another in adjacent wall, wind direction at an angle	37	118	40	110
One aperture in windward wall, another in leeward wall, wind direction perpendicular to inlet	35	65	37	102
One aperture in windward wall, another in leeward wall, wind direction at an angle	42	83	42	94

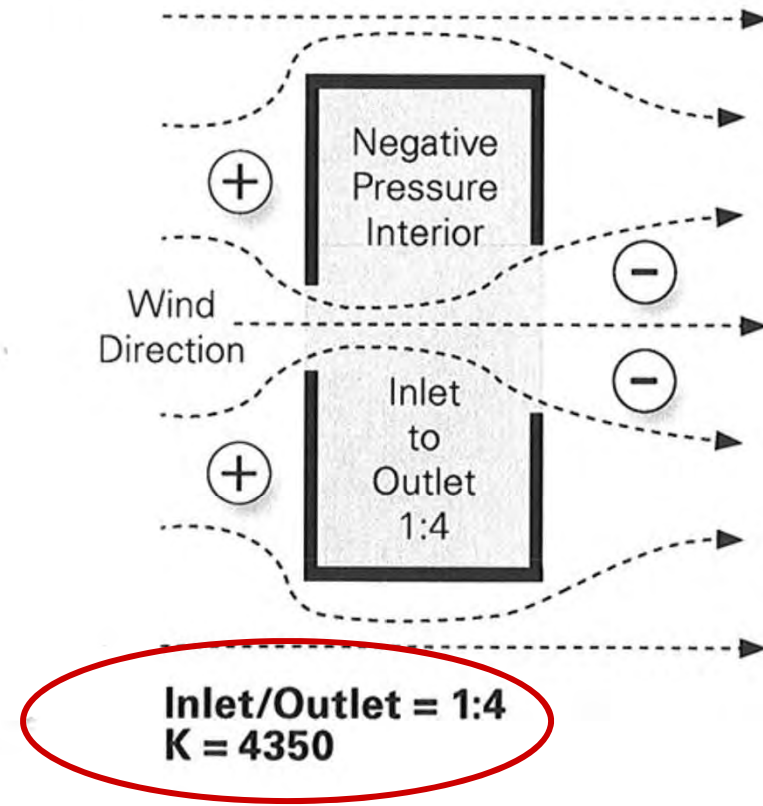
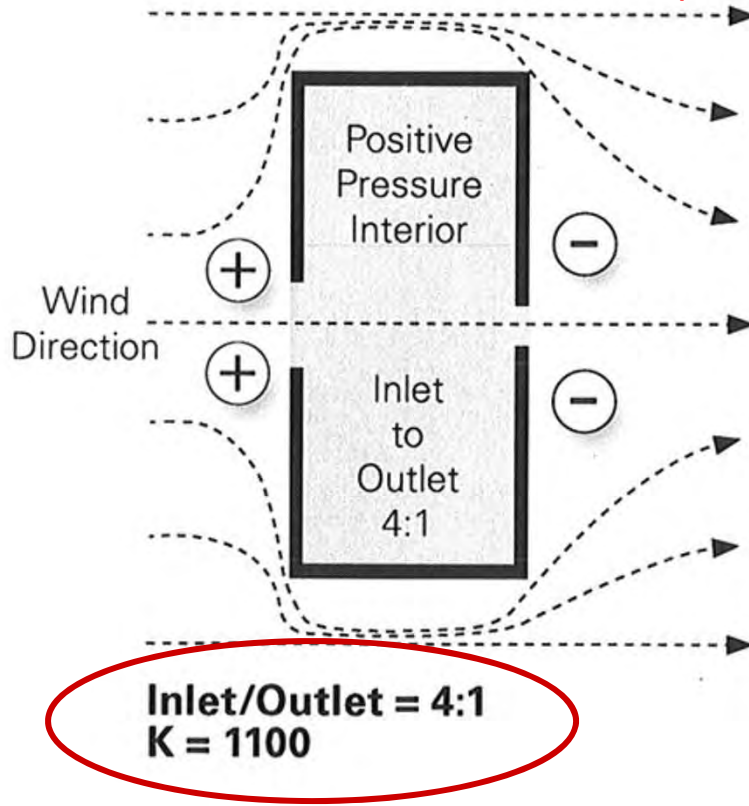


# Simple formulation for Vent Calculation

$$Q = (K)(A)(V)$$

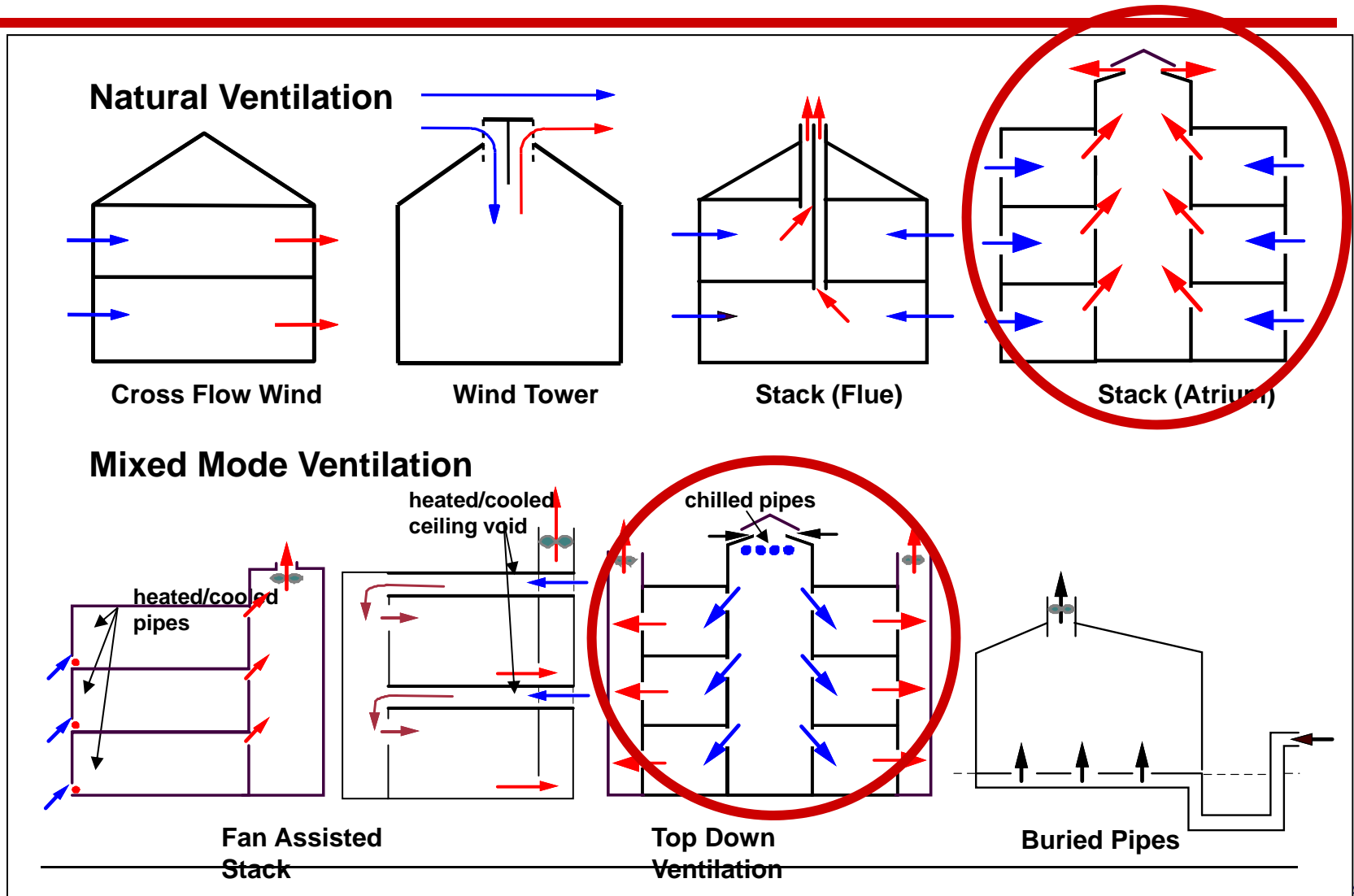
Q = cuft/hr  
V = Wind mph  
*(normalized)*

A = Area of Inlet  
K = Outlet to Inlet Variable



# Natural and Mixed Mode Ventilation Mechanisms

*the strengths and weaknesses of the various schemes;  
emphasize the various applications of theory to practice here*



Courtesy of Martin Liddament via Yuguo Li

# Stack Effect

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- The efficiency of solar chimneys can be improved by:
- Increasing the stack height.
- Increasing the temperature difference between collector and ambient air.

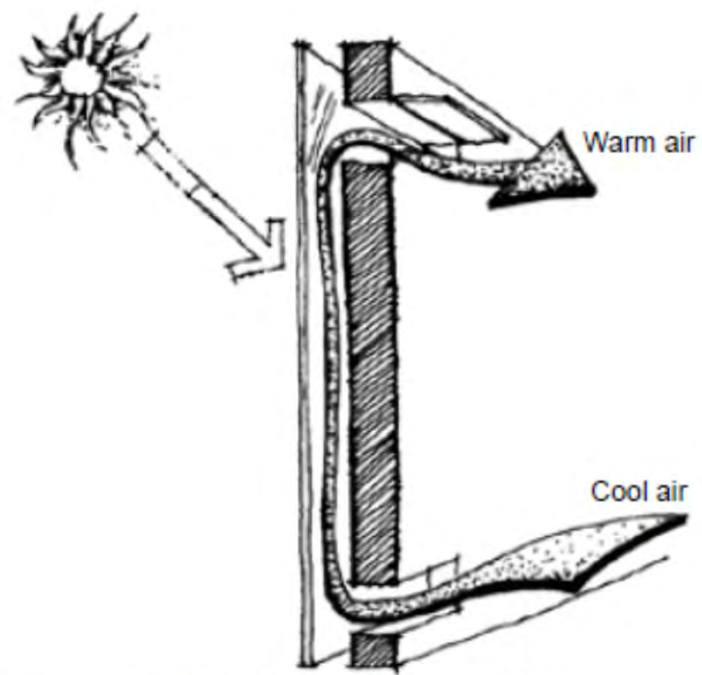
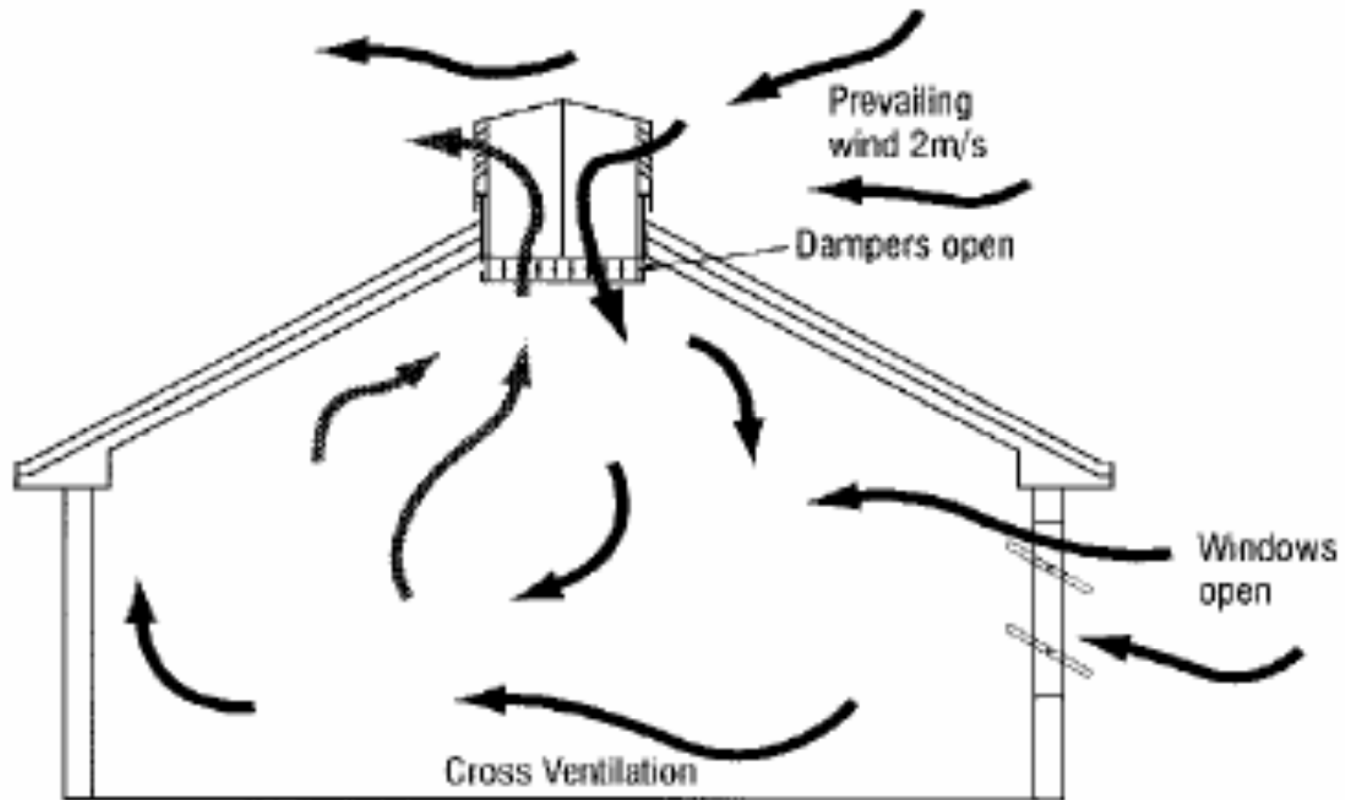


Figure 4. The Solar Chimney Effect

# Windcatcher ventilation

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# Wind Tower Ventilation

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# BRE's Environmental Office Building

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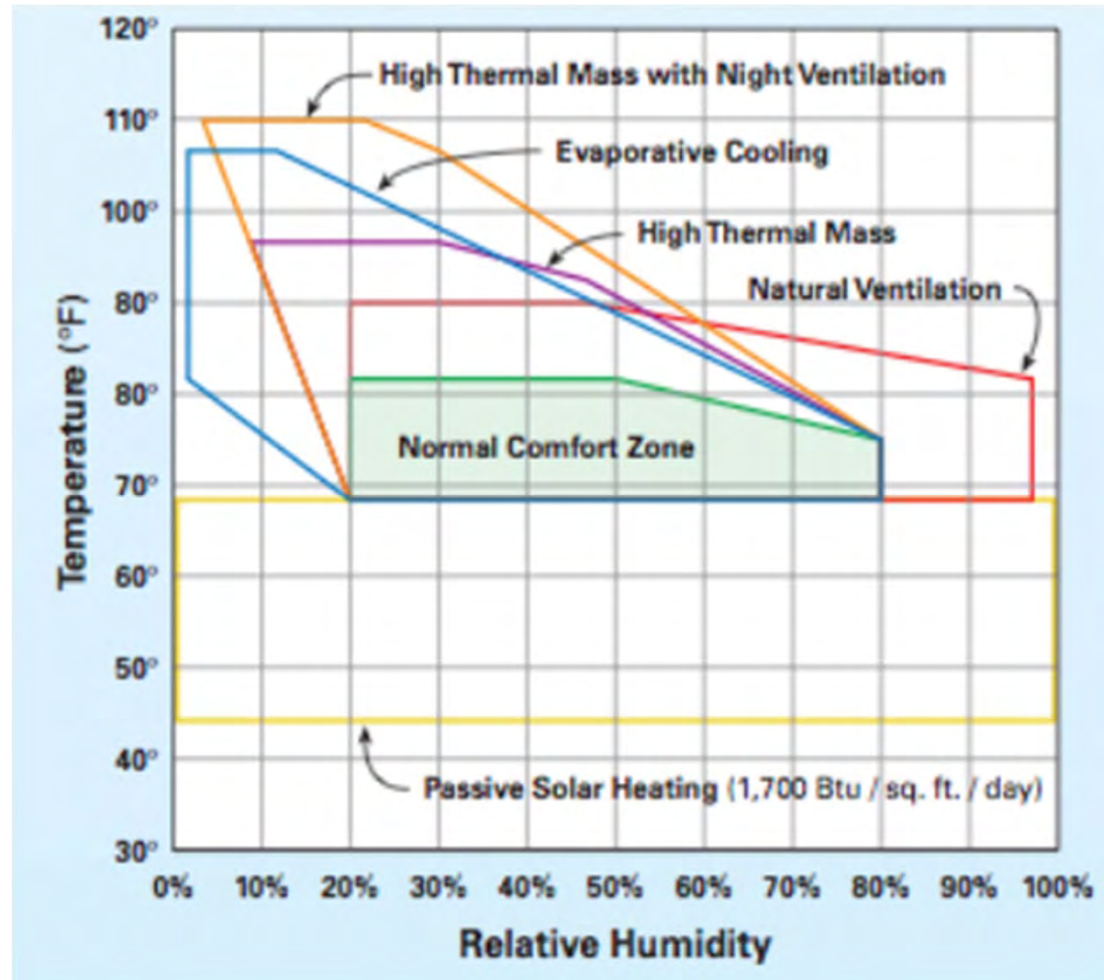


• *Low energy fans for use on still air days*

• *Glass for solar heating of thermal chimney*

# Design strategies for Natural Ventilation as a thermal control strategy

- Overall building design strategy must match the climate conditions and the need for high outdoor air ventilation rates
- Natural ventilation can work in outdoor Temperature range from 40 F (5 C) up to 110 F (43 C), but Relative Humidity is a critical factor



# Natural Ventilation Issues

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- Weather-dependence: wind, temperature, humidity
- Thermal conditions: comfort, health
- Outdoor air quality/pollutants
- Immune compromised patients
- Building configuration (plan, section)
- Management of openings
- Measurement of ventilation rate(s)

## How to use natural ventilation to cool narrow office buildings

E. Gratia\*, I. Bruyère, A. De Herde

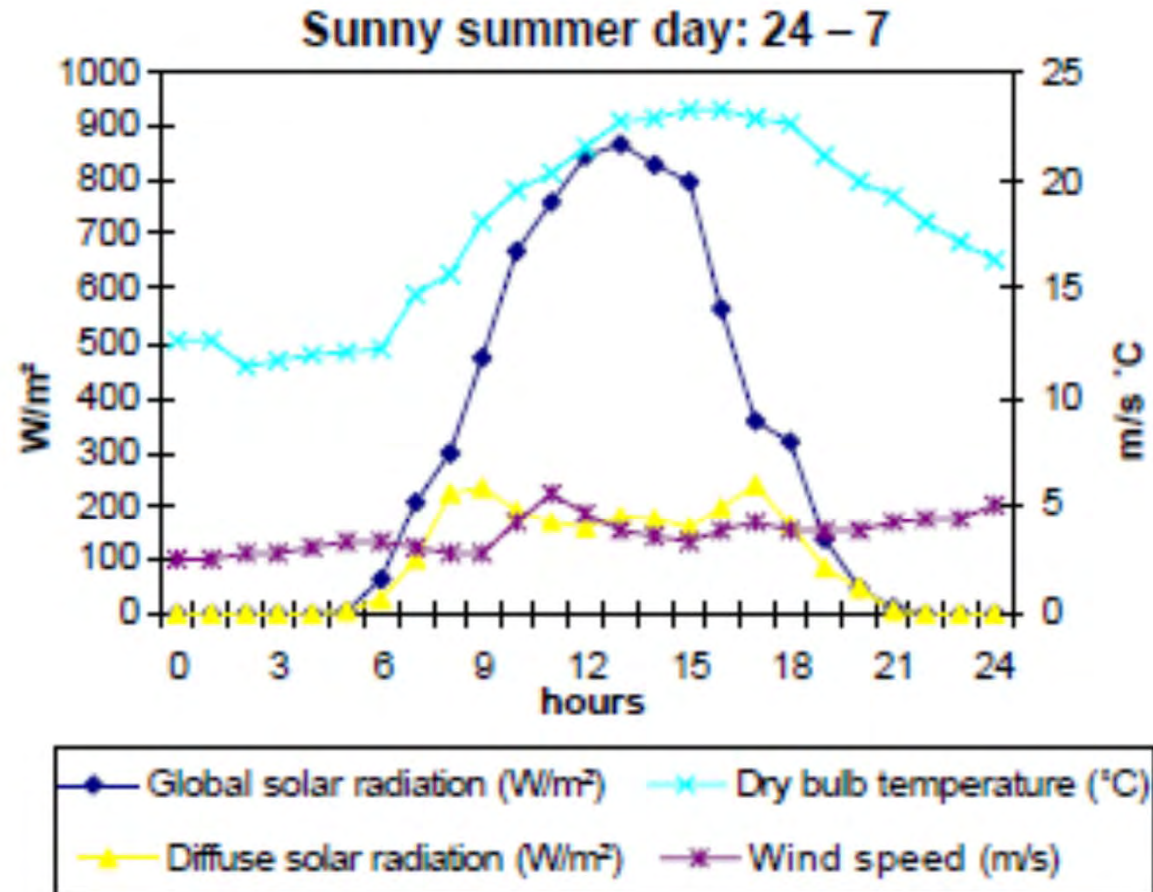
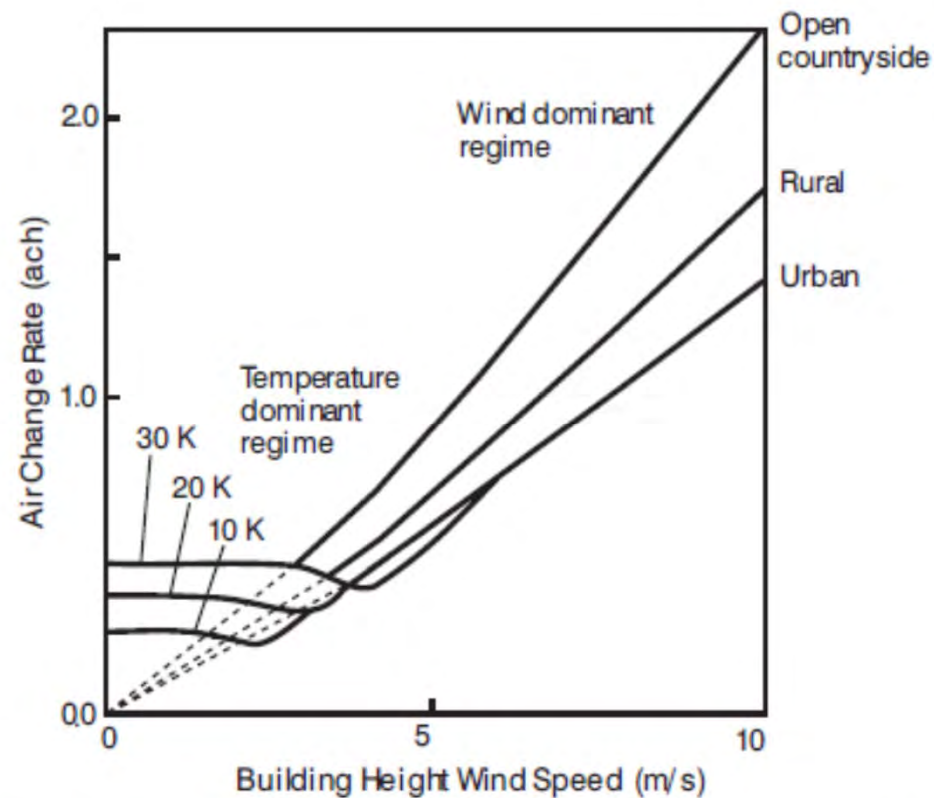


Fig. 7. Climatic data of the sunny summer day.

# Impact of wind and temperature difference on natural ventilation

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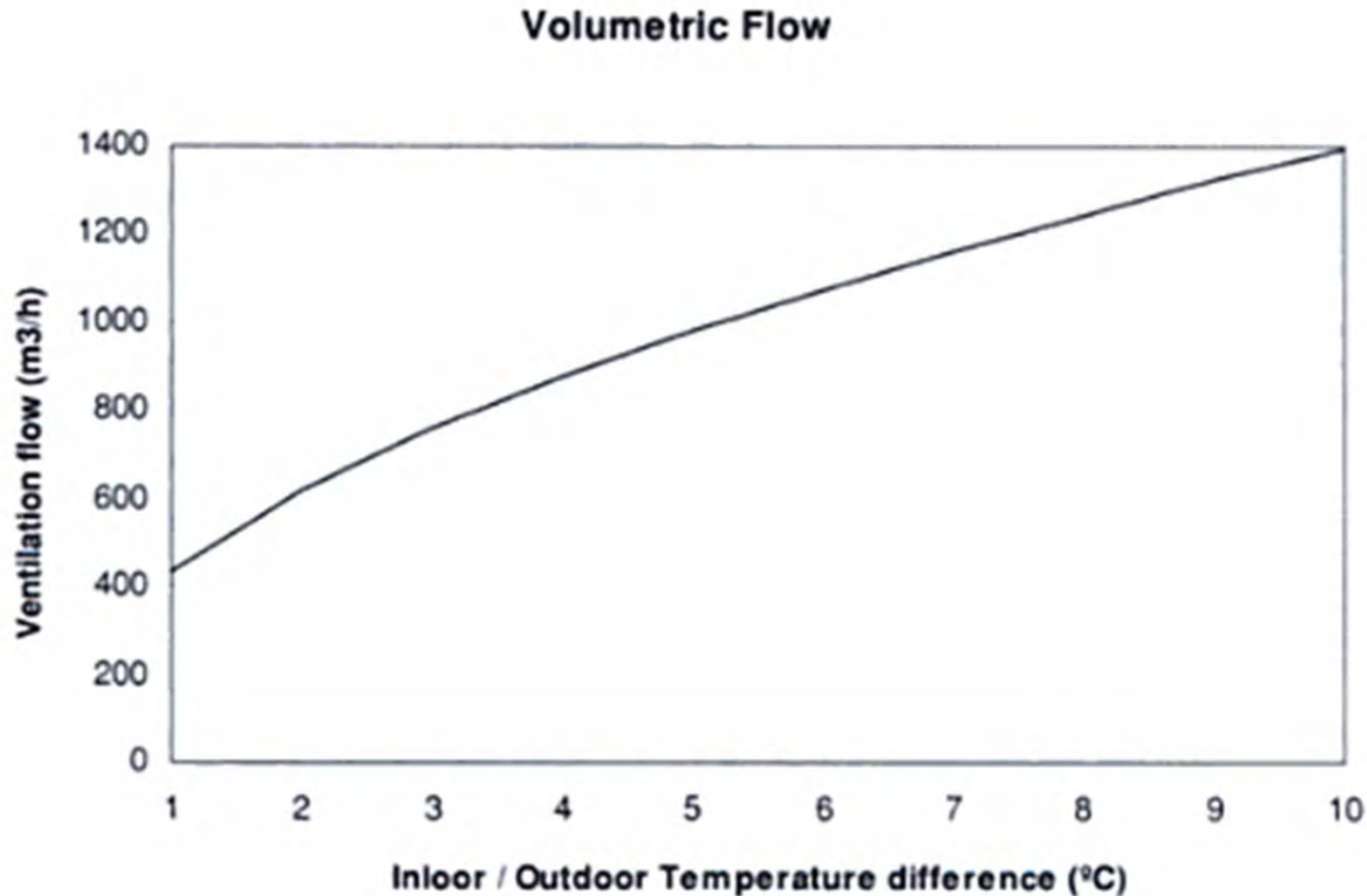
Impact of wind and temperature difference on natural ventilation



# Natural ventilation in buildings

Francis Allard, Mat Santamouris, Servando Alvarez, European Commission. Directorate-General for Energy, ALTENER Program

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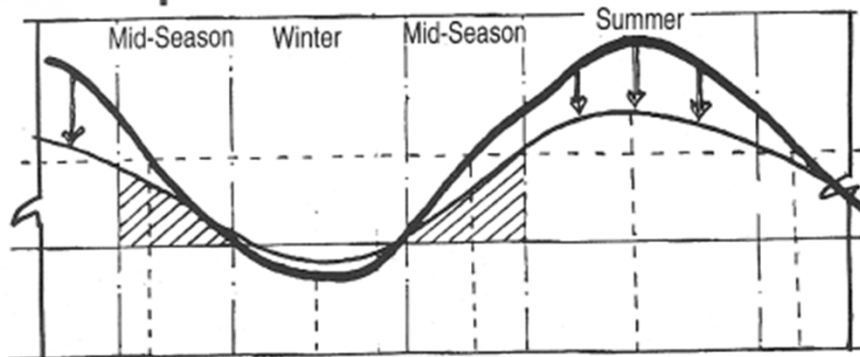


*Figure 2.33. Airflow as a function of the temperature difference*

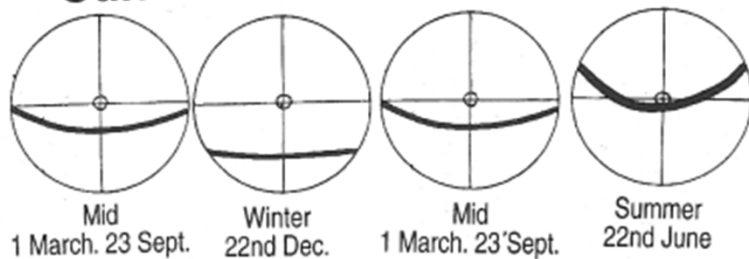
# Weather conditions and ventilation mode

Armoury Tower – Shanghai, China

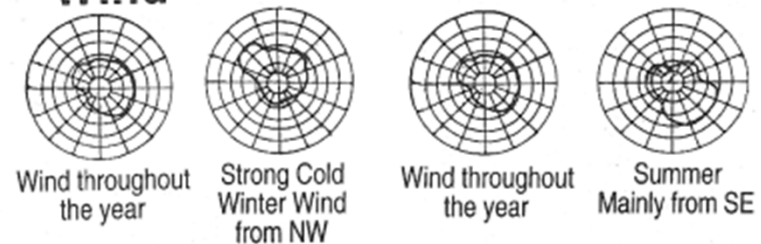
## • Temperature



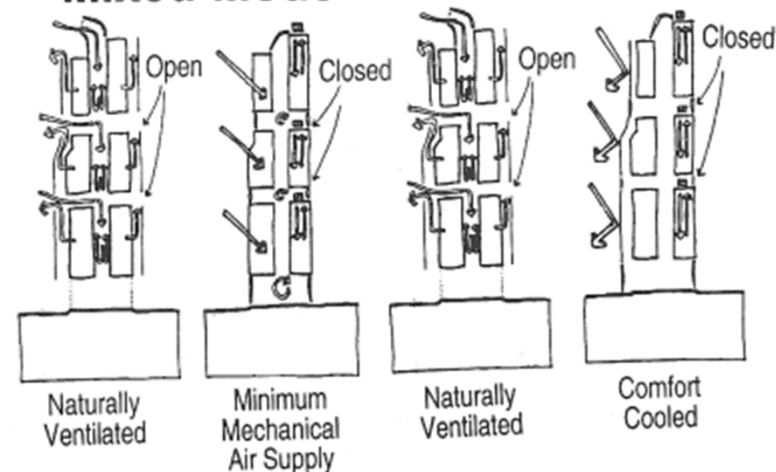
## • Sun



## • Wind



## • Mixed-Mode



*Wind Towers*, 1999. Battle McCarthy Consulting Engineers

**Not in handout materials (copyright limitation)**

# Climate Typology (oversimplified!) What is your climate type?

<i>Climate type</i>	<i>Diurnal swing</i>	<i>Steady daily cycle</i>	<i>Seasonal variation</i>	<i>No seasonal variation</i>
Hot humid		Singapore		
Hot dry	Low desert			
Temperate humid		London	Milan, Italy	
Temperate dry	High desert			Quito, Ecuador
Temperate seasonal -- Temp	Boston	Lima, Peru	Montreal, Canada	
Temperate seasonal – RH	San Francisco Mt. Fuji			
Cold humid				
Cold dry	Bogotá			Bogotá

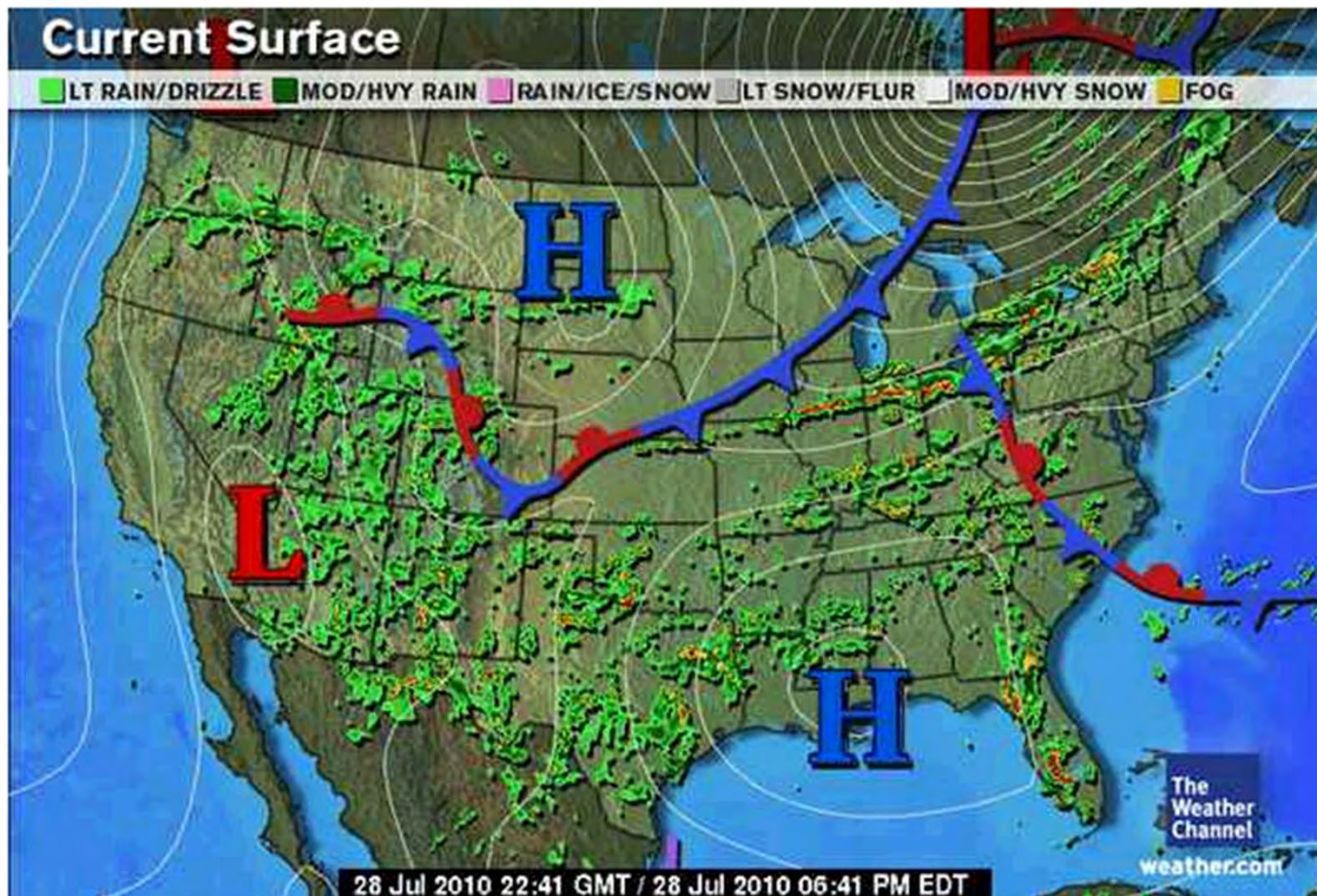
# **Wind: direction and velocity are neither stable nor consistent – almost anywhere**

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- Selected data from almost any city will show daily cycles and variations in wind direction and velocity
- Seasonal variations are more reliable, but daily variations are still the rule rather than the exception
- Even with many predictable situations, wind direction will change over the diurnal cycle – California coast is an example.
- Relying on wind alone can result in both under and over-ventilation relative to a design objective.

# Weather – “wait a minute and it will change”

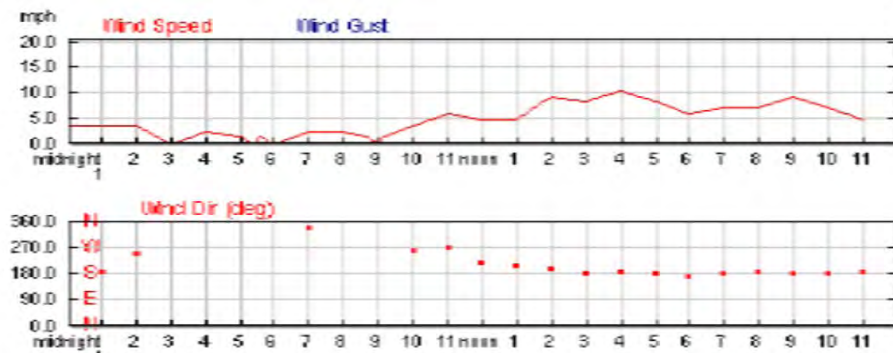
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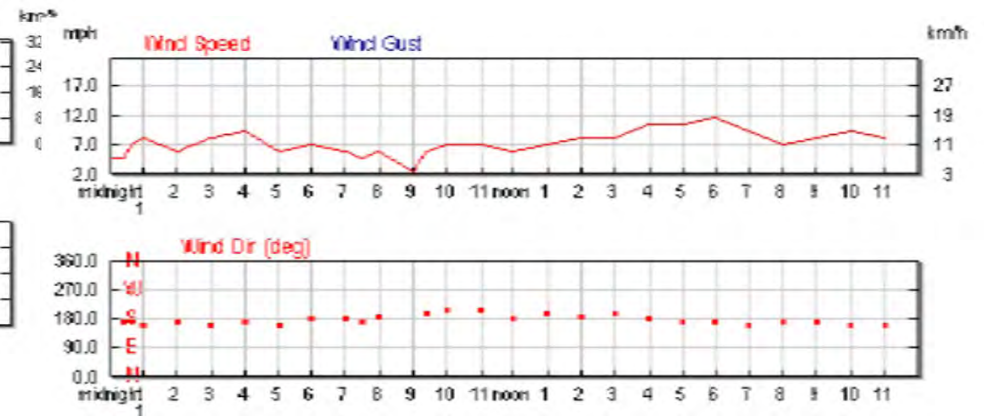


# Lima, Peru: wind speed and direction

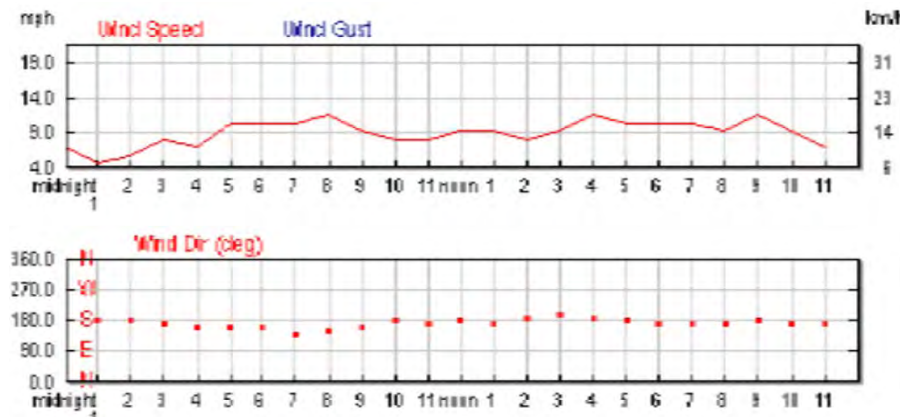
May 1, 2008



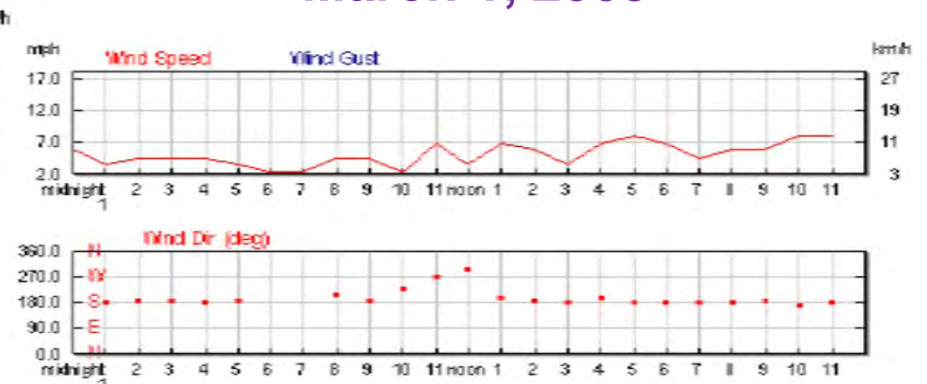
January 1, 2009



September 1, 2008

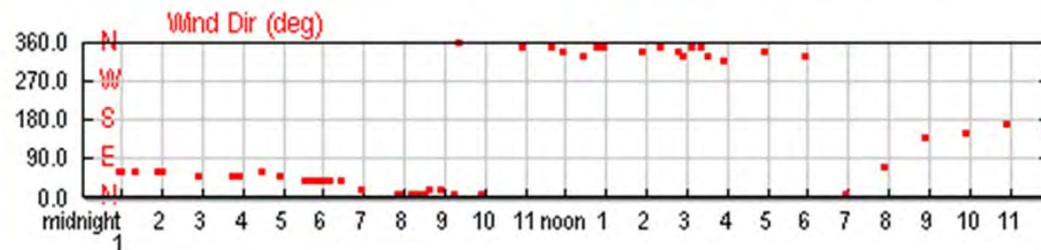
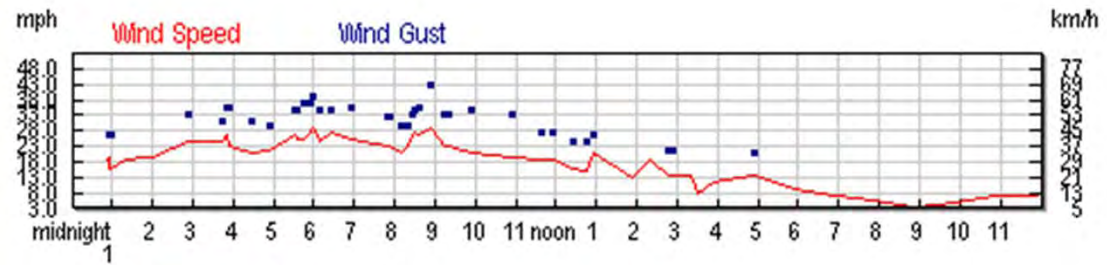


March 1, 2009

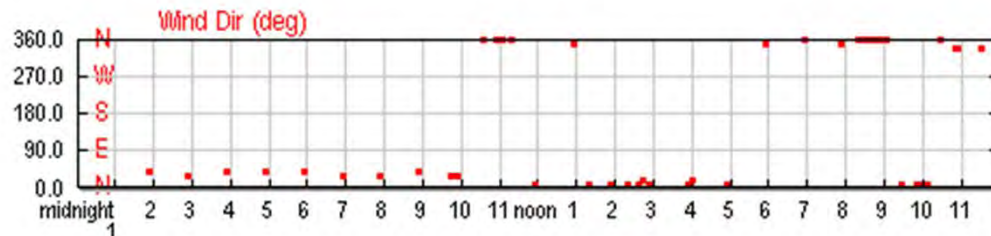
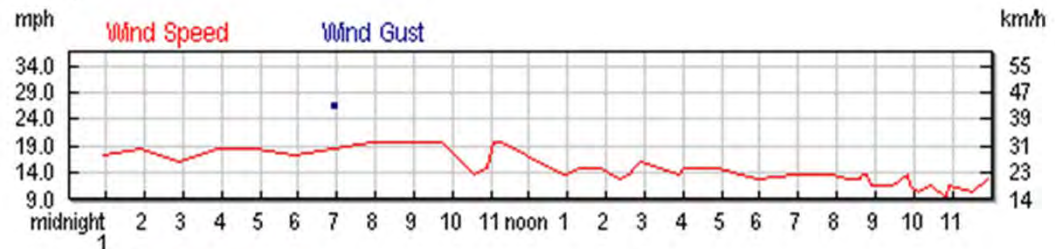


# Boston, MA

*July 24, 2009*

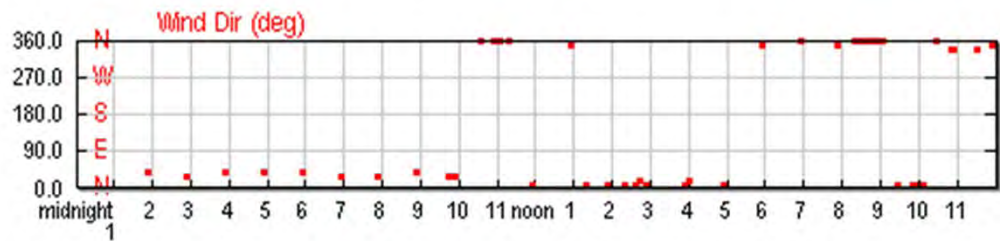


*March 1, 2009*

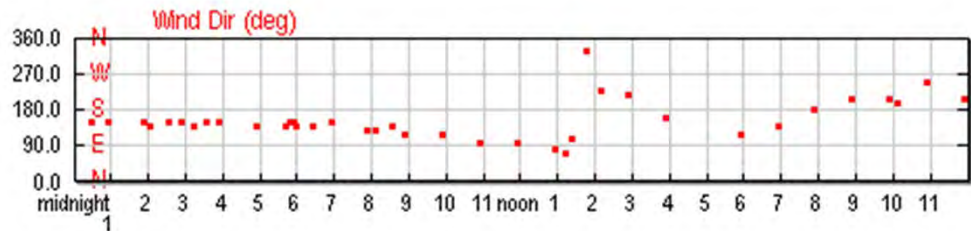


# Boston, MA

January 1, 2009

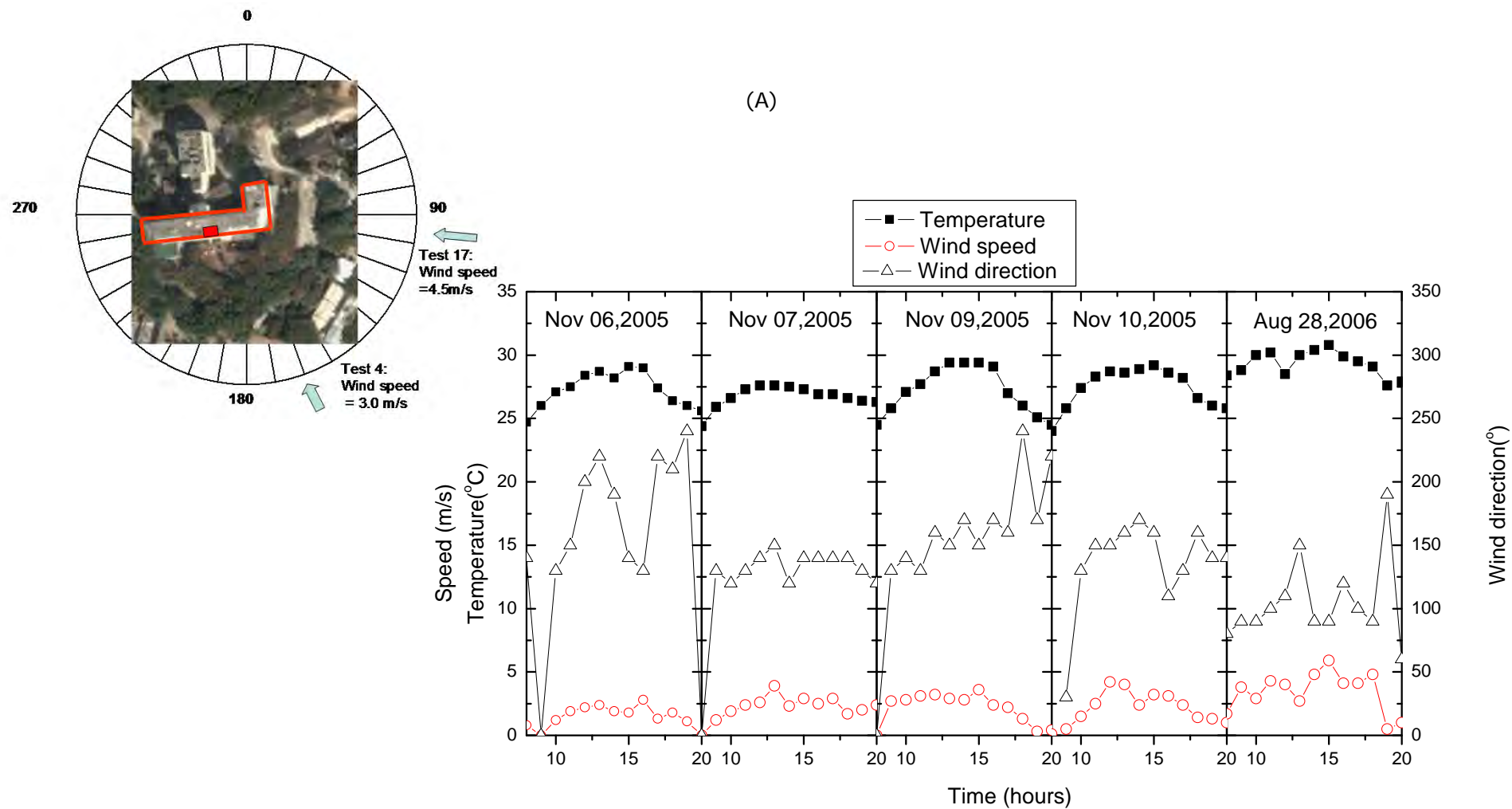


October 1, 2008



# Grantham Hospital Study, Hong Kong

## Yuguo Li, WHO 2009



# Outdoor air quality becomes indoor air quality at high ventilation rates

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- The higher the outdoor air ventilation rate, the higher the indoor/outdoor pollutant concentration
- The effect of the building on reducing outdoor pollutants varies by pollutant and by building ventilation pathways
- Where outdoor air pollution is high, natural ventilation must be considered not only as a means for reducing concentrations from indoor sources (infectious airborne agents as well as chemicals emitted indoors), but also as a means of delivering un-cleaned outdoor air.
- With highly susceptible health care facility occupant populations, consideration must be given to the effects of outdoor pollutants on the occupants' health.



# ***Air Quality Guidelines: Global Update WHO, 2005***

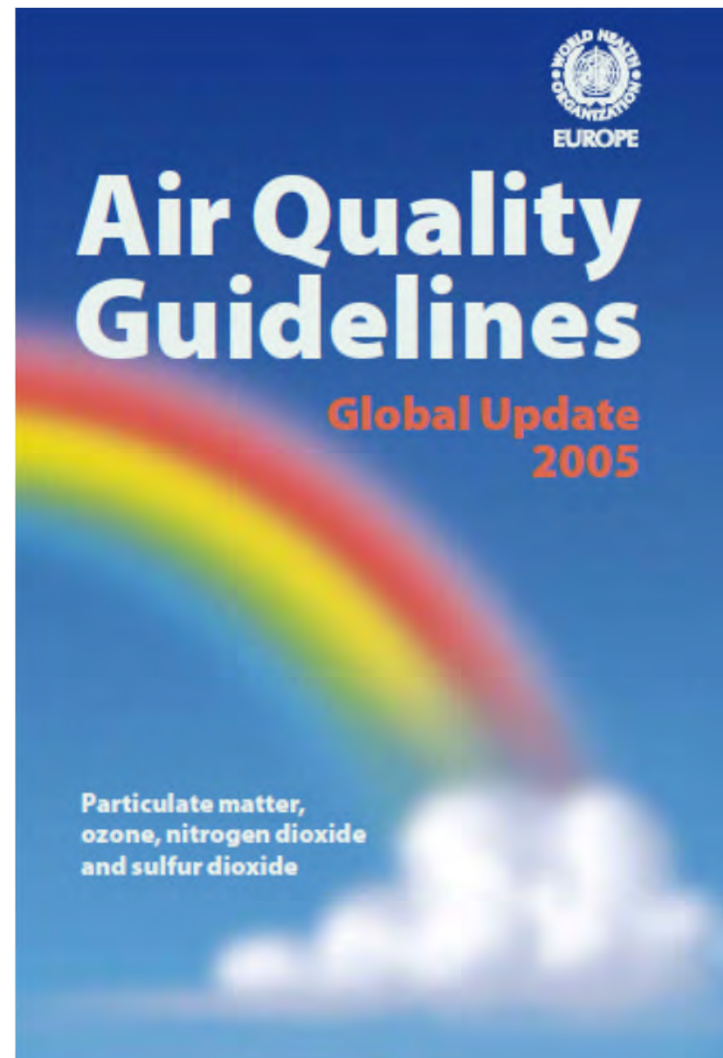
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## **Chapter 2.**

**Global ambient air  
pollution  
concentrations and  
trends**

***Bjarne Sivertsen***

**[http://www.who.int/phe/health\\_topics/outdoorair\\_aqg/en/](http://www.who.int/phe/health_topics/outdoorair_aqg/en/)**



## Ranges of annual average concentrations of outdoor air pollutants by continent based on selected urban data

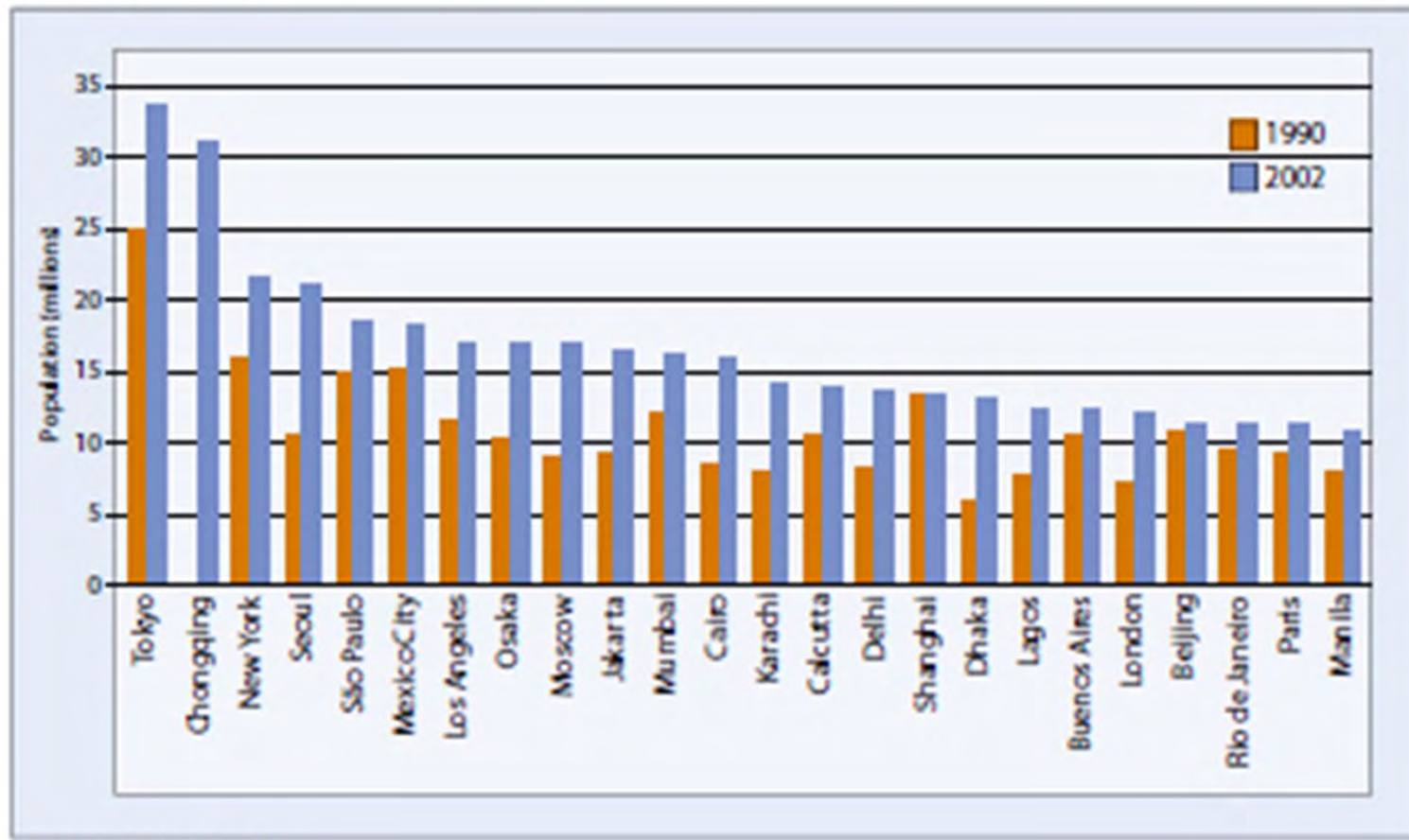
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Region	PM <sub>10</sub>	Nitrogen dioxide	Sulfur dioxide	Ozone (1-hour maximum concentration)
Africa	40–150	35–65	10–100	120–300
Asia	35–220	20–75	6–65	100–250
Australia/New Zealand	28–127	11–28	3–17	120–310
Canada/United States	20–60	35–70	9–35	150–380
Europe	20–70	18–57	8–36	150–350
Latin America	30–129	30–82	40–70	200–600

(source: World Health Organization, 2005. *Air Quality Guidelines: Global Update*)

# Where are the people who will arrive in naturally-ventilated health care facilities?

Fig. 2. The 24 megacities in the world with populations (including suburbs) exceeding 10 million in 2002

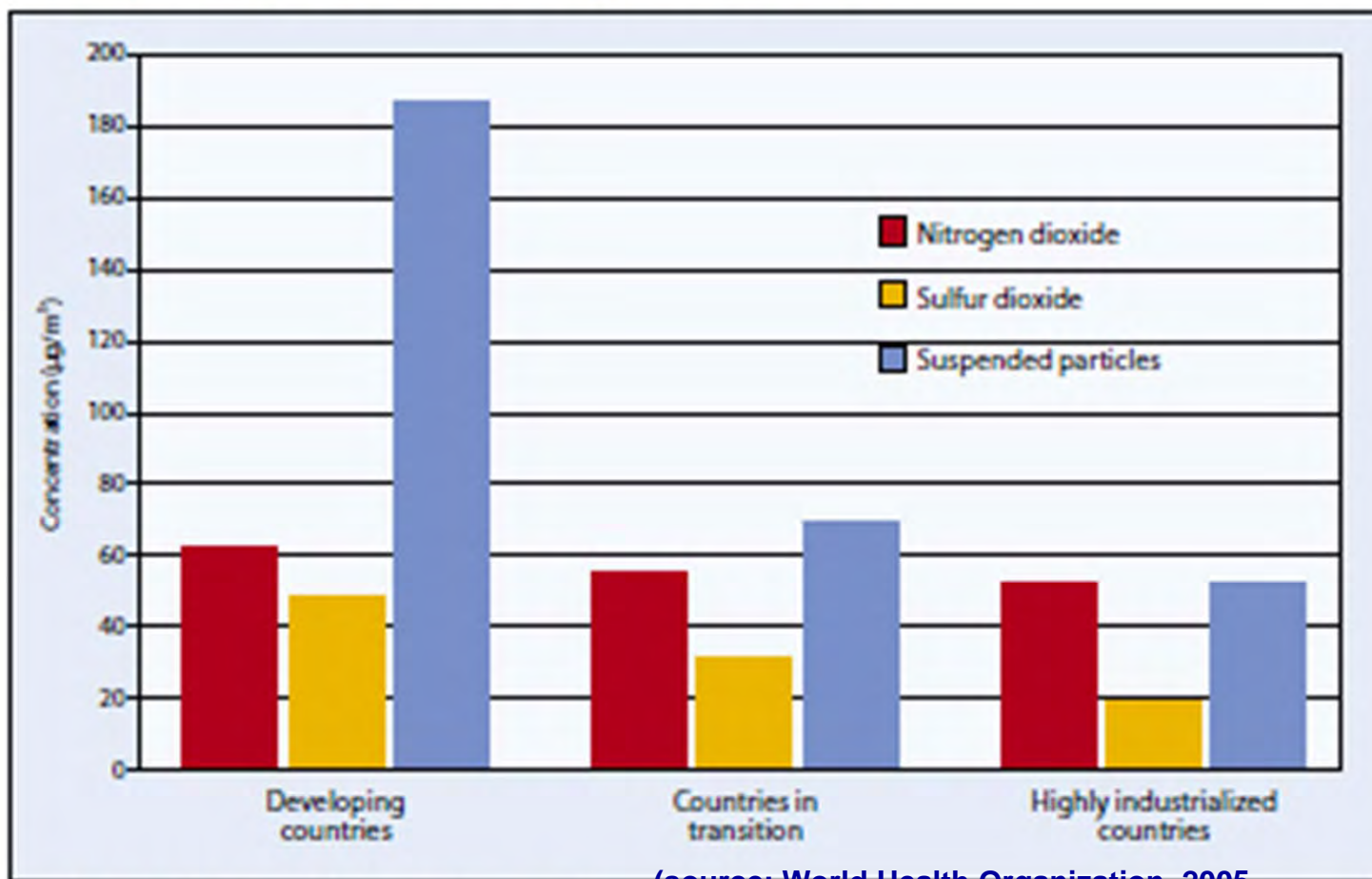


Source: United Nations (4).

(source: World Health Organization, 2005).

# Pollutant concentrations by national level of development

Fig. 3. Typical annual average concentrations of nitrogen dioxide, sulfur dioxide and suspended particles in different parts of the world



Source: United Nations Human Settlements Programme (S).

(source: World Health Organization, 2005.

# U.S. EPA National Ambient Air Quality Standards (NAAQS) <http://www.epa.gov/air/criteria.html>

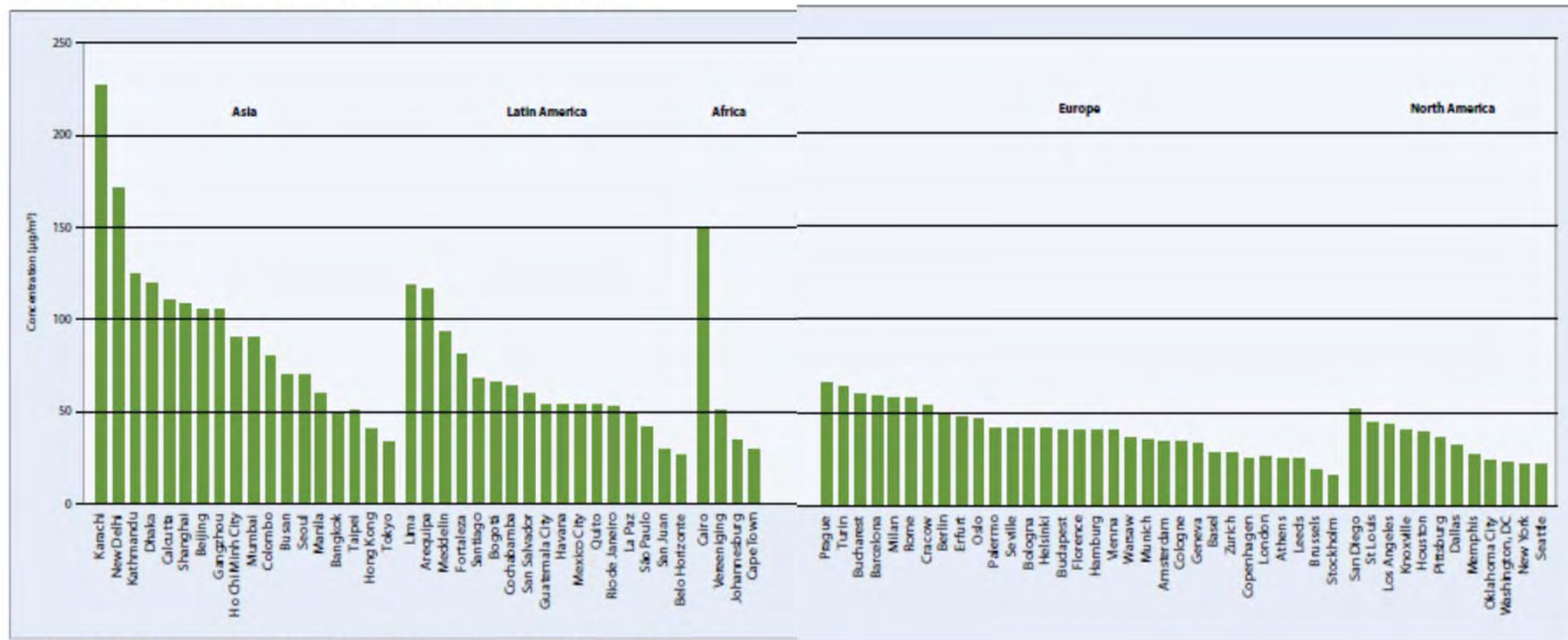
Pollutant	Primary Standards		Secondary Standards	
	Level	Averaging Time	Level	Averaging Time
Carbon Monoxide	9 ppm (10 mg/m <sup>3</sup> )	8-hour (1)	None	
	35 ppm (40 mg/m <sup>3</sup> )	1-hour (1)		
Lead	0.15 µg/m <sup>3</sup> (2)	Rolling 3-Month Average	Same as Primary	
	1.5 µg/m <sup>3</sup>	Quarterly Average	Same as Primary	
Nitrogen Dioxide	0.053 ppm (100 µg/m <sup>3</sup> )	Annual (Arithmetic Mean)	Same as Primary	
Particulate Matter (PM <sub>10</sub> )	150 µg/m <sup>3</sup>	24-hour (3)	Same as Primary	
Particulate Matter (PM <sub>2.5</sub> )	15.0 µg/m <sup>3</sup>	Annual (4) (Arithmetic Mean)	Same as Primary	
	35 µg/m <sup>3</sup>	24-hour (5)	Same as Primary	
Ozone	0.075 ppm (2008 std)	8-hour (6)	Same as Primary	
	0.08 ppm (1997 std)	8-hour (7)	Same as Primary	
	0.12 ppm	1-hour (8)	Same as Primary	
Sulfur Dioxide	0.03 ppm	Annual (Arithmetic Mean)	0.5 ppm (1300 µg/m <sup>3</sup> )	3-hour (1)
	0.14 ppm	24-hour (1)		





# Average annual PM<sub>10</sub> concentrations in selected cities world wide (part 1)

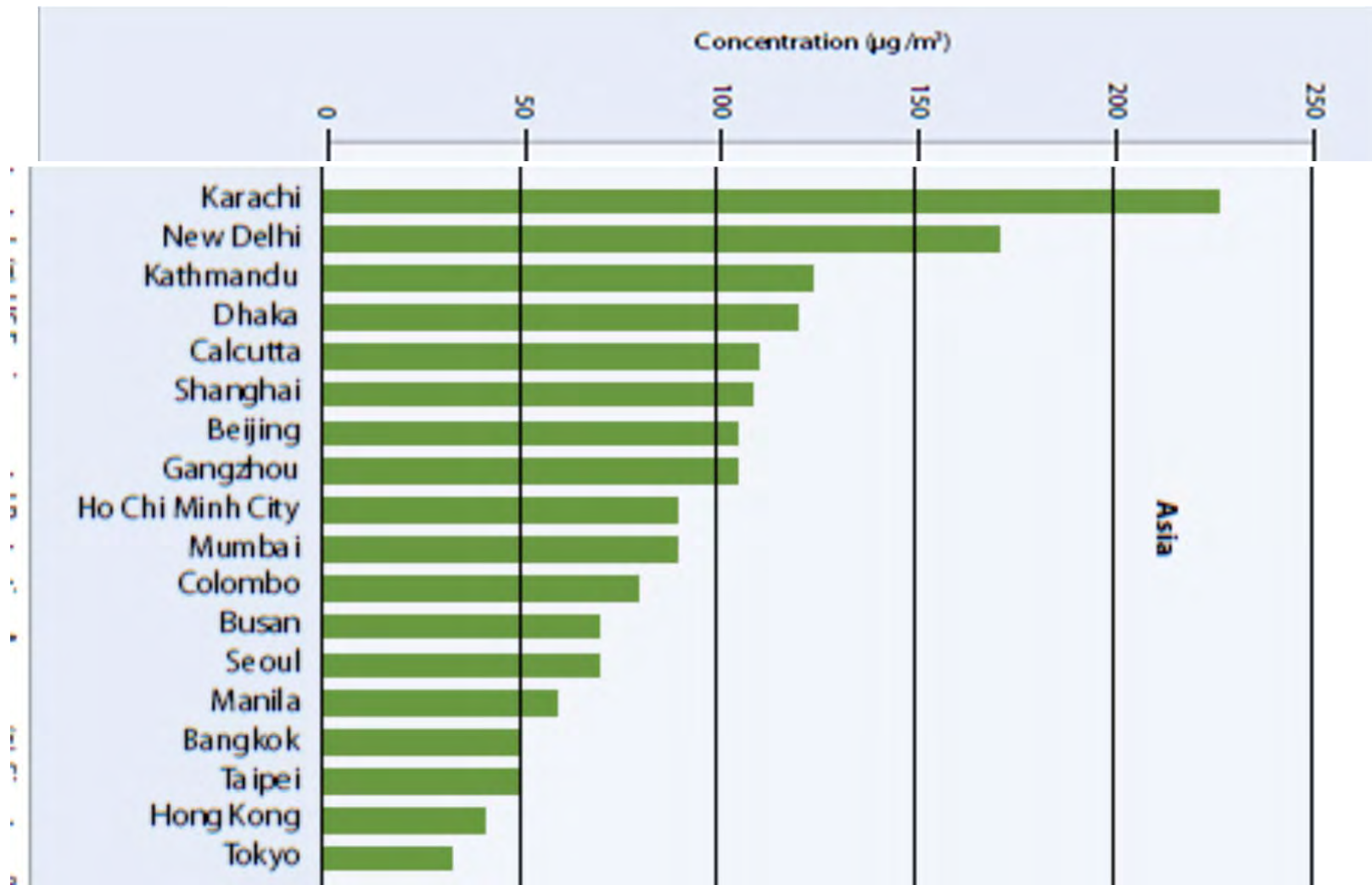
Fig. 4. Annual average PM<sub>10</sub> concentrations observed in selected cities worldwide



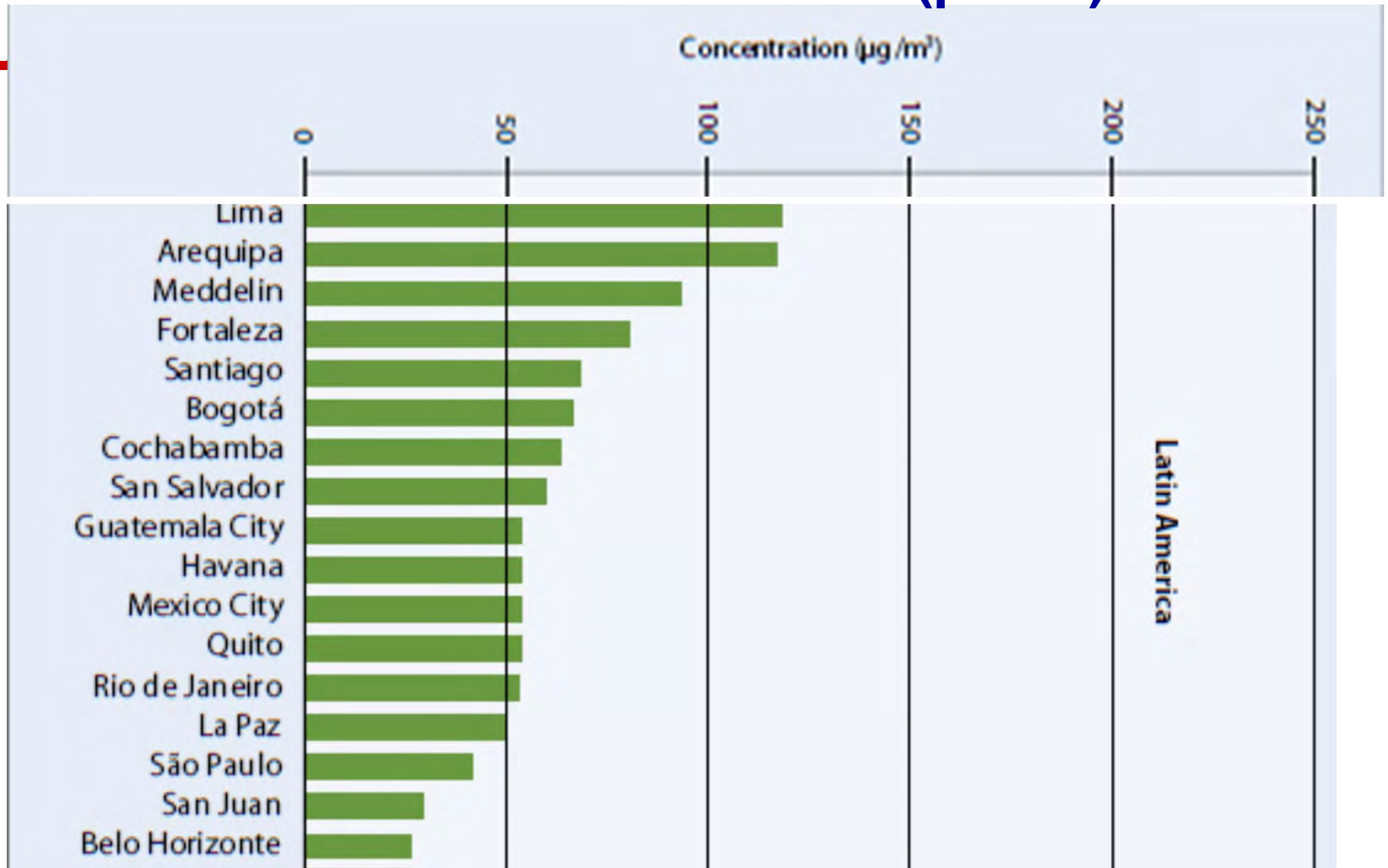
Sources: Bourotte et al. (7); US Environmental Protection Agency (8); Svartsen & El Seoud (9); Svartsen et al. (10); State Environmental Protection Agency (11); CAFE (12); Department of Environment and Heritage (13); Department of Environmental Affairs and Tourism (14); US Environmental Protection Agency (15).

(source: World Health Organization, 2005.)

## Average annual PM<sub>10</sub> concentrations in selected cities world wide (part 2)

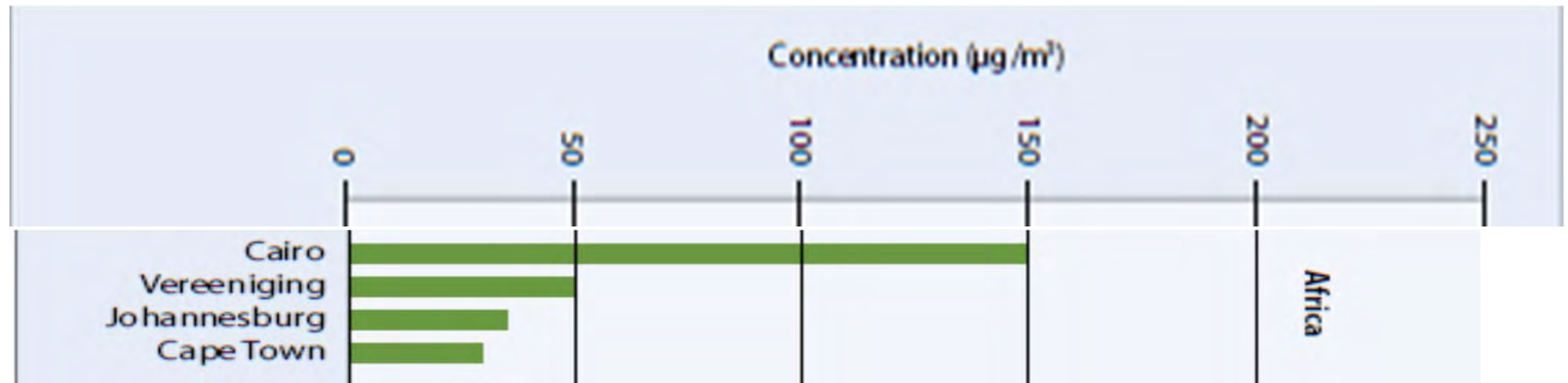


## Average annual PM<sub>10</sub> concentrations in selected cities world wide (part 3)



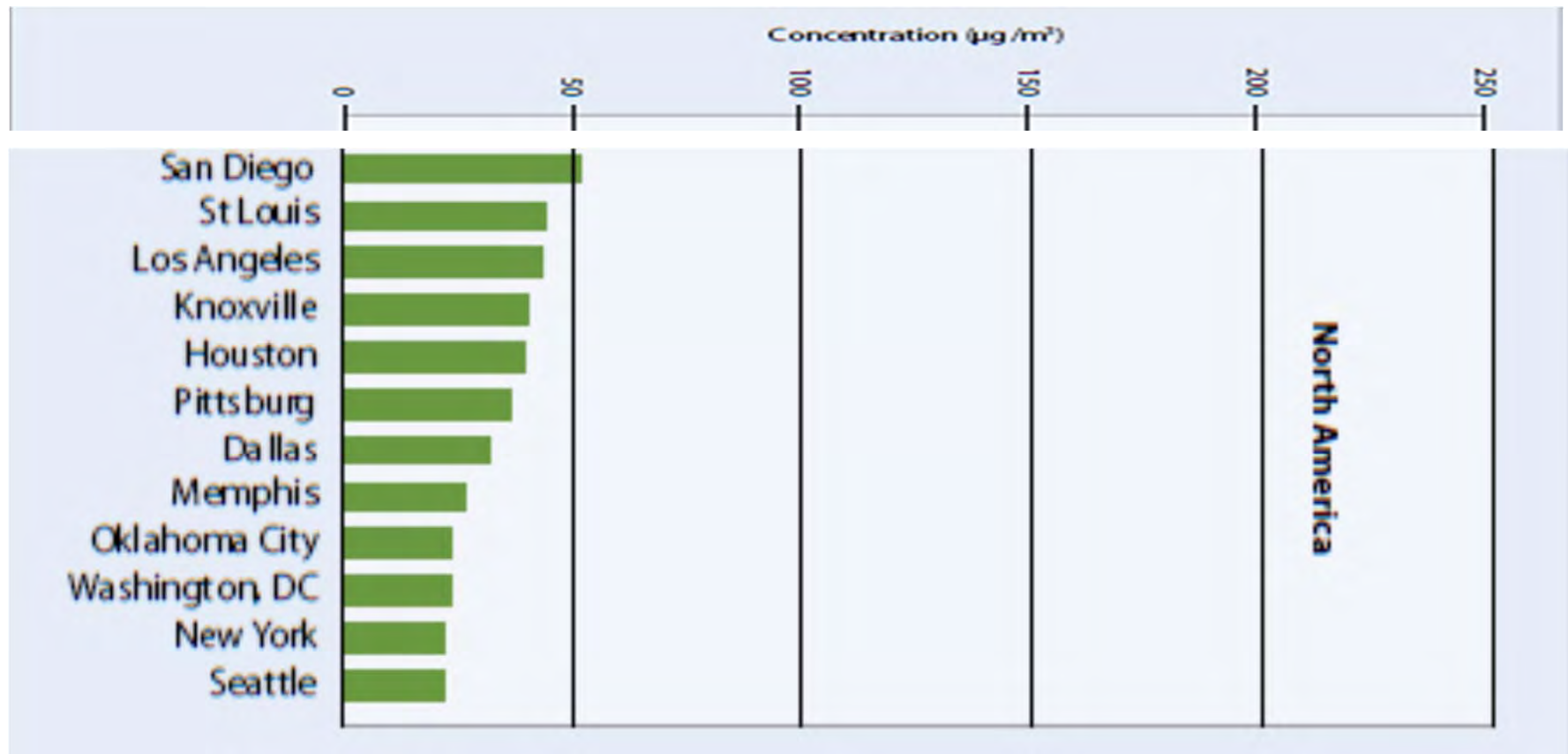
# Average annual PM<sub>10</sub> concentrations in selected cities world wide (part 4)

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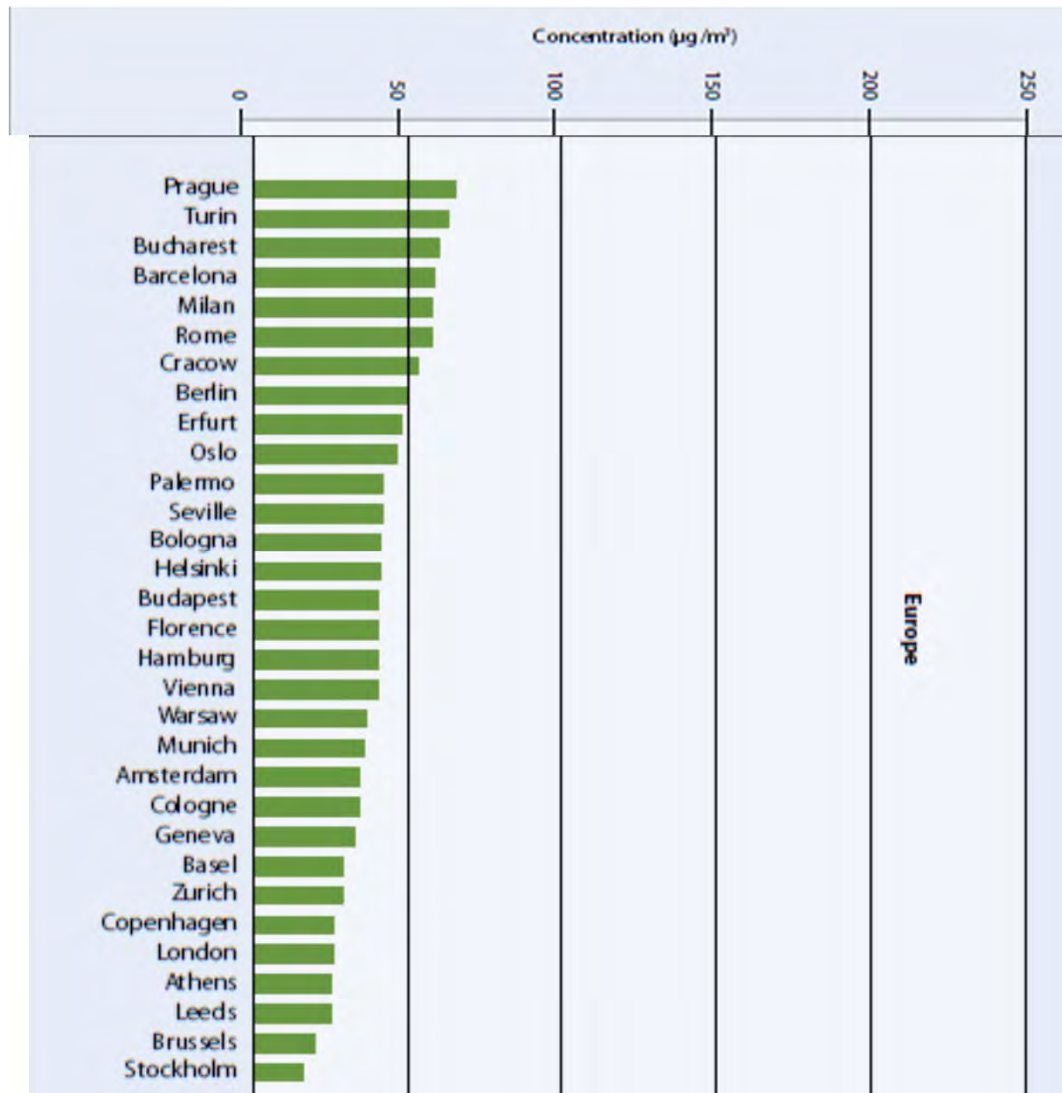
# Average annual PM<sub>10</sub> concentrations in selected cities world wide (part 5)

## NORTH AMERICA





# Average annual PM<sub>10</sub> concentrations in selected cities world wide (part 6)



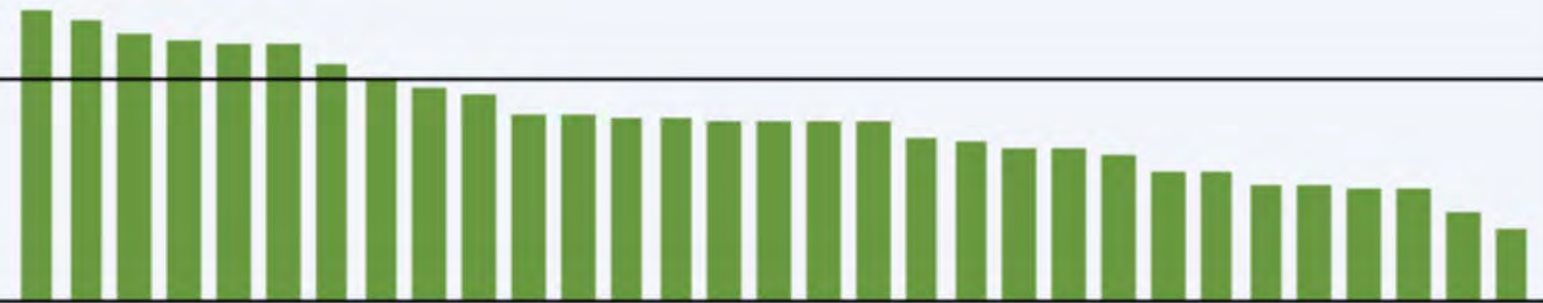
Europe

# EUROPE

Concentration ( $\mu\text{g}/\text{m}^3$ )

200  
150  
100  
50  
0

Prague  
Turin  
Bucharest  
Barcelona  
Milan  
Rome  
Cracow  
Berlin  
Erfurt  
Oslo  
Palermo  
Seville  
Bologna  
Helsinki  
Budapest  
Florence  
Hamburg  
Vienna  
Warsaw  
Munich  
Amsterdam  
Cologne  
Geneva  
Basel  
Zurich  
Copenhagen  
London  
Athens  
Leeds  
Brussels  
Stockholm



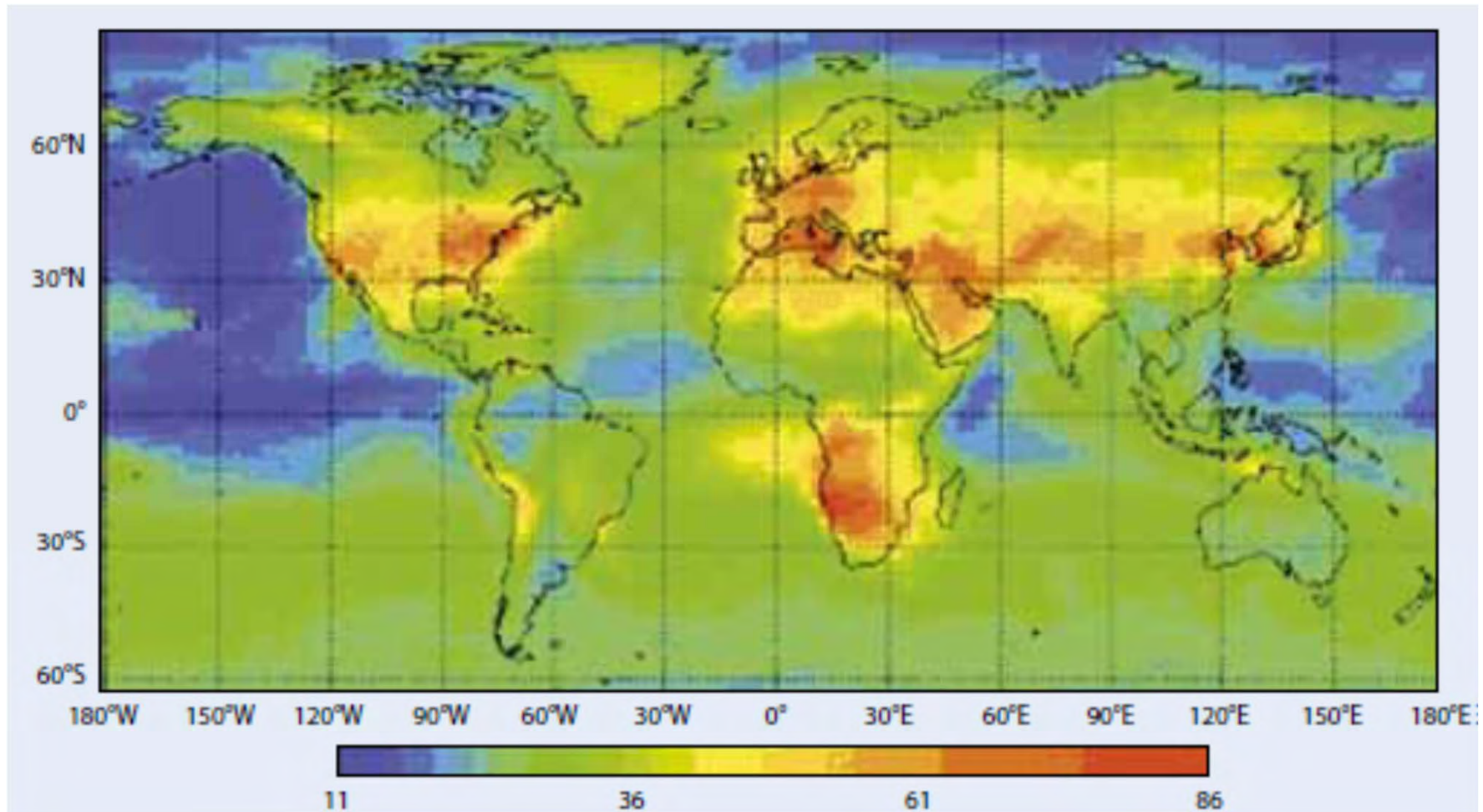
# U.S. EPA National Ambient Air Quality Standards (NAAQS) <http://www.epa.gov/air/criteria.html>

Pollutant	Primary Standards		Secondary Standards	
	Level	Averaging Time	Level	Averaging Time
Carbon Monoxide	9 ppm (10 mg/m <sup>3</sup> )	8-hour (1)	None	
	35 ppm (40 mg/m <sup>3</sup> )	1-hour (1)		
Lead	0.15 µg/m <sup>3</sup> (2)	Rolling 3-Month Average	Same as Primary	
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Nitrogen Dioxide	0.053 ppm (100 µg/m <sup>3</sup> )	Annual (Arithmetic Mean)	Same as Primary	
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Particulate Matter (PM <sub>2.5</sub> )	15.0 µg/m <sup>3</sup>	Annual (4) (Arithmetic Mean)	Same as Primary	
	35 µg/m <sup>3</sup>	24-hour (5)	Same as Primary	
Ozone	0.075 ppm (2008 std)	8-hour (6)	Same as Primary	
	0.08 ppm (1997 std)	8-hour (7)	Same as Primary	
	0.12 ppm	1-hour (8)	Same as Primary	
Sulfur Dioxide	0.03 ppm	Annual (Arithmetic Mean)	0.5 ppm (1300 µg/m <sup>3</sup> )	3-hour (1)
	0.14 ppm	24-hour (1)		



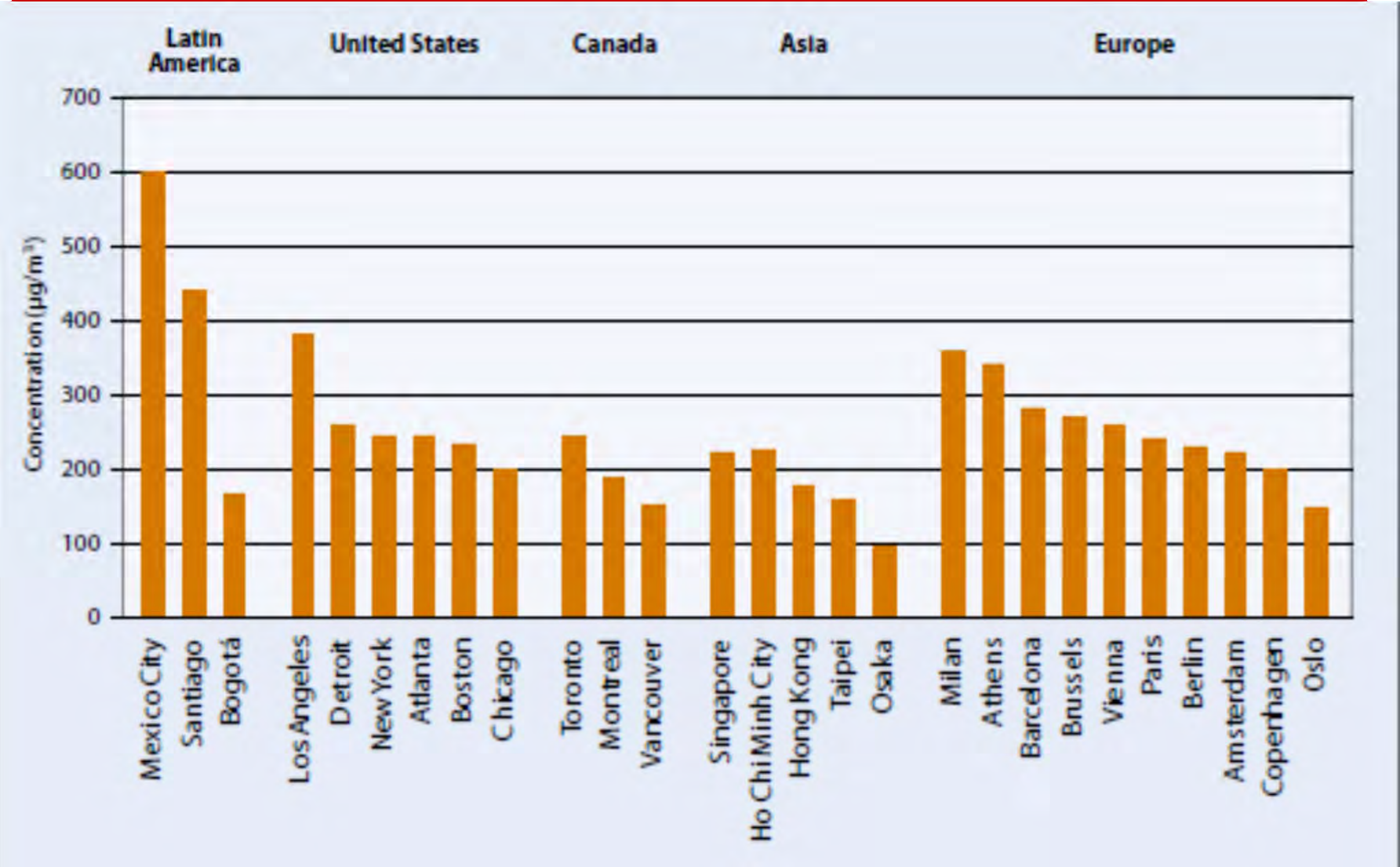
**Mean afternoon (13:00 to 16:00) surface ozone concentrations calculated for the month of July  
(comment: where are people living?)**

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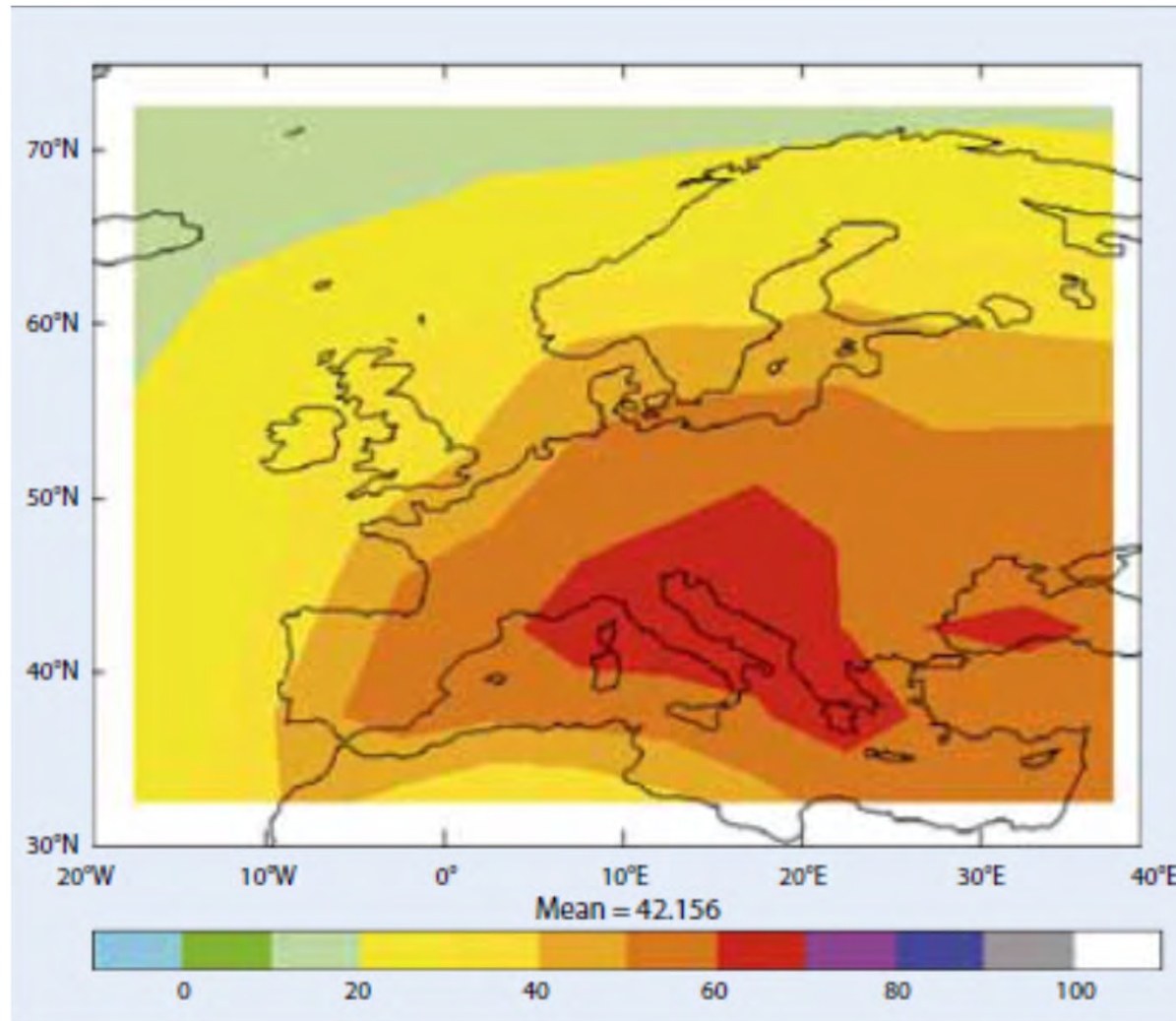
# Highest (1-hour average) ground-level ozone concentrations measured in selected cities





## Modeled surface ozone concentrations (ppb) over Europe during July for the years 2000–2009

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# Indoor O<sub>3</sub> concentration as a function of outdoor concentration and ventilation rate

Outdoor Air Ozone Concentration (parts per billion)

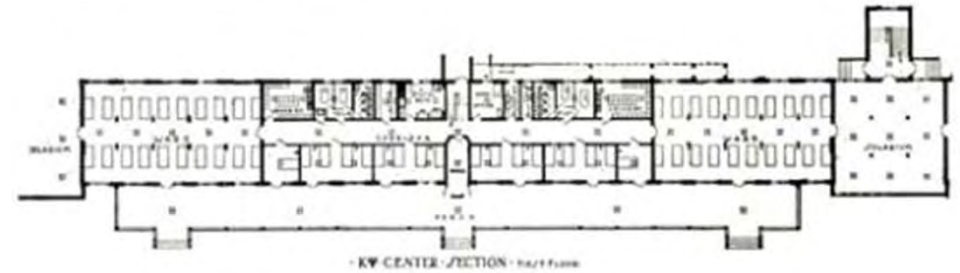
	20	40	60	80	100	120	140	160	180	200
AER (h <sup>-1</sup> )										
1	5	10	15	20	25	30	35	40	45	50
2	7	15	22	30	37	45	52	60	67	75
4	11	22	33	44	55	66	77	88	99	110
6	13	26	40	53	66	79	92	106	119	132
12	16	32	48	64	80	96	112	128	144	160
20	18	36	54	72	90	108	126	144	162	180

# Immune compromised patients

---

- What is the trade-off between reducing the risk of infection by unfiltered outdoor air ventilation and decreasing the airborne concentration of infectious airborne agents?
- Does it depend on the kind and level of pollution?
- Does it depend on the kind of level of infectious agent?
- Does it depend on the health status of the patient?
- Does it depend on the age and life expectancy of the patient?
- Is there a simple answer to this dilemma?

# Building configuration



TUBERCULOSIS WARDS (HOFMAN)

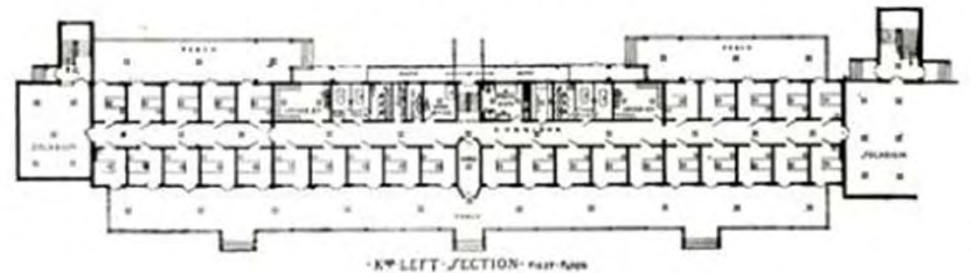
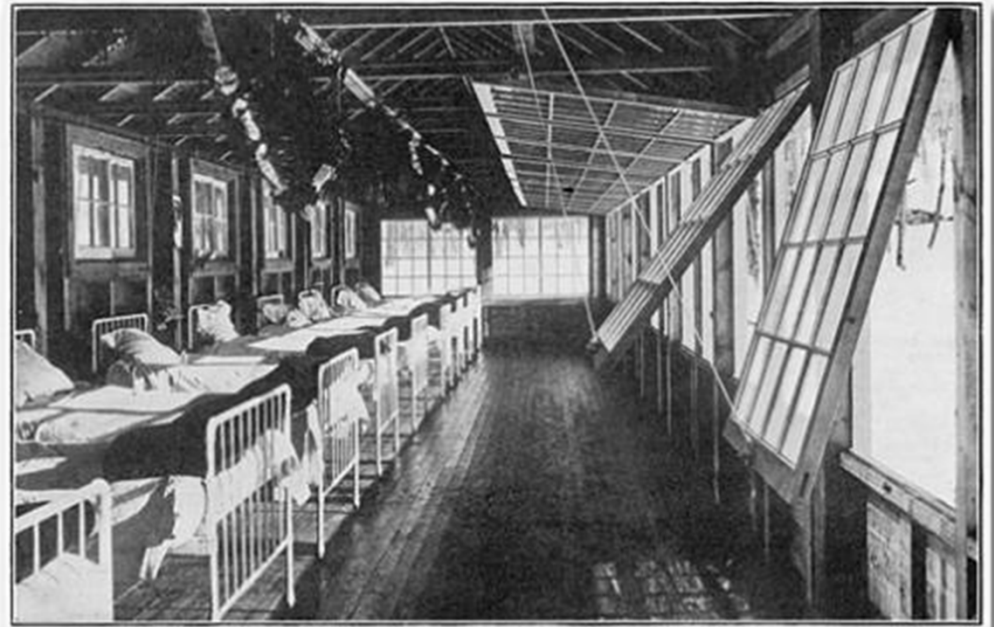


FIG. 27.

# Management of openings

---

- Who's in charge here?
- What if the weather is bad?
- What if it's smoky outside?
- Security?





# Measurement issues

---

- How do you confirm adequate outdoor air ventilation in a naturally-ventilated healthcare facility?
- Methods for approximation available, precise numbers are not feasible .... Nor necessary.
- Carbon dioxide from occupants simply not technically valid for high air change rates – 6 to 12 ach.
- Only under special conditions can CO<sub>2</sub> be used in lower occupant density spaces – steady conditions, not usually present for natural ventilation.
- Air flow direction can be and should be confirmed.

# Natural Ventilation: Theory

## Summary - Review

---

Purpose of ventilation What is ventilation?

Types of natural ventilation (Driving forces):

- Buoyancy (stack effect; thermal)
- Pressure driven (wind driven; differential pressure)

Applications

- Supply of outdoor air
- Convective cooling
- Physiological cooling

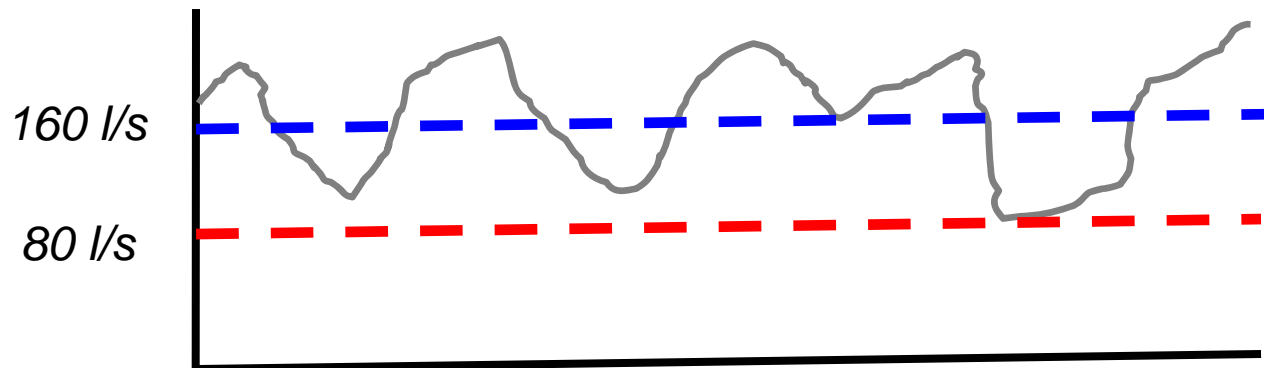
Issues

- Weather-dependence: wind, temperature, humidity
- Outdoor air quality
- Immune compromised patients
- Building configuration (plan, section)
- Management of openings
- Measurement and verification

# WHO 2009 NatVent Guideline – key ideas

(source: Y. Li, HB2009, Syracuse.)

- For natural ventilation, a minimum hourly averaged ventilation rate of 160 L/s/patient for airborne precaution rooms (with a minimum of 80 L/s/patient).



- When natural ventilation alone cannot satisfy the requirements, mechanically assisted natural ventilation system should be used.
- Overall airflow should bring the air from the agent sources to areas where there is sufficient dilution, and preferably to the outdoors.

# Keys to Natural Ventilation for Infection Control in Healthcare Settings

---

- **Air change rate**
  - Ensure adequate average flow and minimum flow specifications are met
  - Approximate measurements under all weather and building operational conditions
  - Measurements , Verification
- **Air distribution:**
  - Flow direction:
    - Away from infected - verify
    - Ensure and verify consistency under all ventilation regimes
  - Flow of infectious agents directly out of building
  - Avoid flow toward other patients, especially susceptibles
- **Management plan**

# Natural Ventilation: Theory References

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- Allard, Francis, Mat Santamouris, Servando Alvarez, “Natural ventilation in buildings.” European Commission. Directorate-General for Energy, ALTENER Program. on-line: t [http://books.google.com/books?hl=en&lr=&id=1tdQMyhPA2gC&oi=fnd&pg=PR9&dq=Natural+ventilation+theory&ots=mFzmf4mct&sig=XA3zksH\\_OBkkS8tILbxmwJqbWyo](http://books.google.com/books?hl=en&lr=&id=1tdQMyhPA2gC&oi=fnd&pg=PR9&dq=Natural+ventilation+theory&ots=mFzmf4mct&sig=XA3zksH_OBkkS8tILbxmwJqbWyo)
- Andersen, Karl Terpager, 2003. Theory for natural ventilation by thermal buoyancy in one zone with uniform temperature. *Building and Environment* **38**: 1281–1289.
- Heiselberg, P., Ed., 2002. *Principles of Hybrid Ventilation*. IEA-ECBS Annex 35 report. Downloadable from <http://hybvent.civil.auc.dk/>
- Li ,Yuguo, 2000. Buoyancy-driven natural ventilation in a thermally stratified one-zone building.” *Building and Environment* **35**: 207-214.
- Nielsen, P. V. 2009, Control of airborne infectious diseases in ventilated spaces, *J. R. Soc. Interface* 2009 6, S747-S755. available at <http://rsif.royalsocietypublishing.org/>.
- WHO, 2005. *Air Quality Guidelines, 2005 Update*. Geneva: World Health Organization. [http://www.who.int/phe/health\\_topics/outdoorair\\_aqg/en/](http://www.who.int/phe/health_topics/outdoorair_aqg/en/)
- WHO, 2009. *Natural Ventilation for Infection Control in Health-Care Settings*. Geneva: World Health Organization. [http://www.who.int/water\\_sanitation\\_health/publications/natural\\_ventilation.pdf](http://www.who.int/water_sanitation_health/publications/natural_ventilation.pdf)



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# **Natural Ventilation: Measurement Challenges**

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**Building Ecology Research Group**

**Santa Cruz, California USA**

**hlevin6@gmail.com**

*Building Design and Engineering Approaches to Airborne Infection Control  
Harvard School of Public Health – August 2, 2012*

## **Steady State (*theoretical*) basis for fully mixed room**

---

$$C(t) - C_o = S ( 1 - e^{-at} ) / aV$$

**where**

***c(t) = indoor concentration (volumetric proportion) at  
time t,***

***C<sub>o</sub> = constant outdoor concentration (volumetric  
proportion),***

***S = generation rate of the source (volume per time  
unit),***

***a = air change rate (per time unit),***

***t = time, and***

***V = volume in the occupied space.***

# Requirements for satisfying quasi steady state conditions during build-up period

---

- **Constant number of occupants**
- **Constant occupant metabolic rate**
- **Constant outdoor air CO<sub>2</sub> concentration**
- **Constant ventilation rate (mechanical, leakage, and entry from adjacent (connecting) spaces all at same conditions of occupancy and ventilation**
- **Are these conditions ever met in your experience?**

# CO<sub>2</sub> is easy to measure, right?



# It is easy to calculate or assess ventilation based on CO<sub>2</sub> measurements, right?

- $C(t) - C_o = S(1 - e^{-at})/aV$

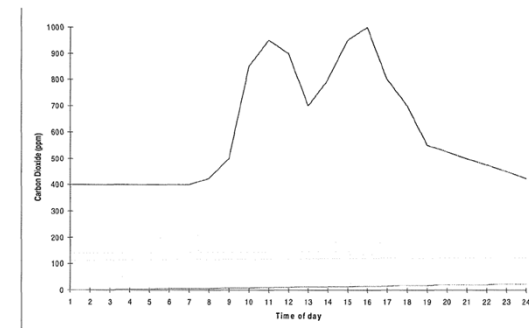
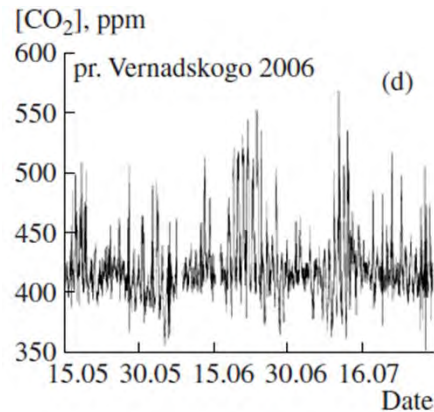
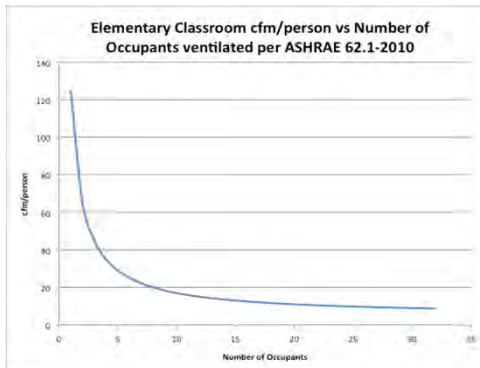
At=	Percent Steady State	Hours required at alternative ACH				
		ACH=0.25	ACH=0.5	ACH=.75	ACH=1.0	ACH=2.0
1	63.21%	4	1.33	1	0.5	
2	86.47%	8	2.67	2	1	
3	95.02%	12	4	3	1.5	
4	98.17%	16	5.33	4	2	
5	99.33%	20	6.67	5	2.5	

Steady State Ind-Out CO <sub>2</sub> (ppm)	Outdoor Air (cfm/p)	Outdoor air (L/s-p)
4700	2	1
2200	5	2
1700	6	3
1200	9	4
900	15	7
700	18	8
500	21	10

**Not!**

$C_{ss} - C_o = S/aV$

$C_{ss} - C_o = S/aV$



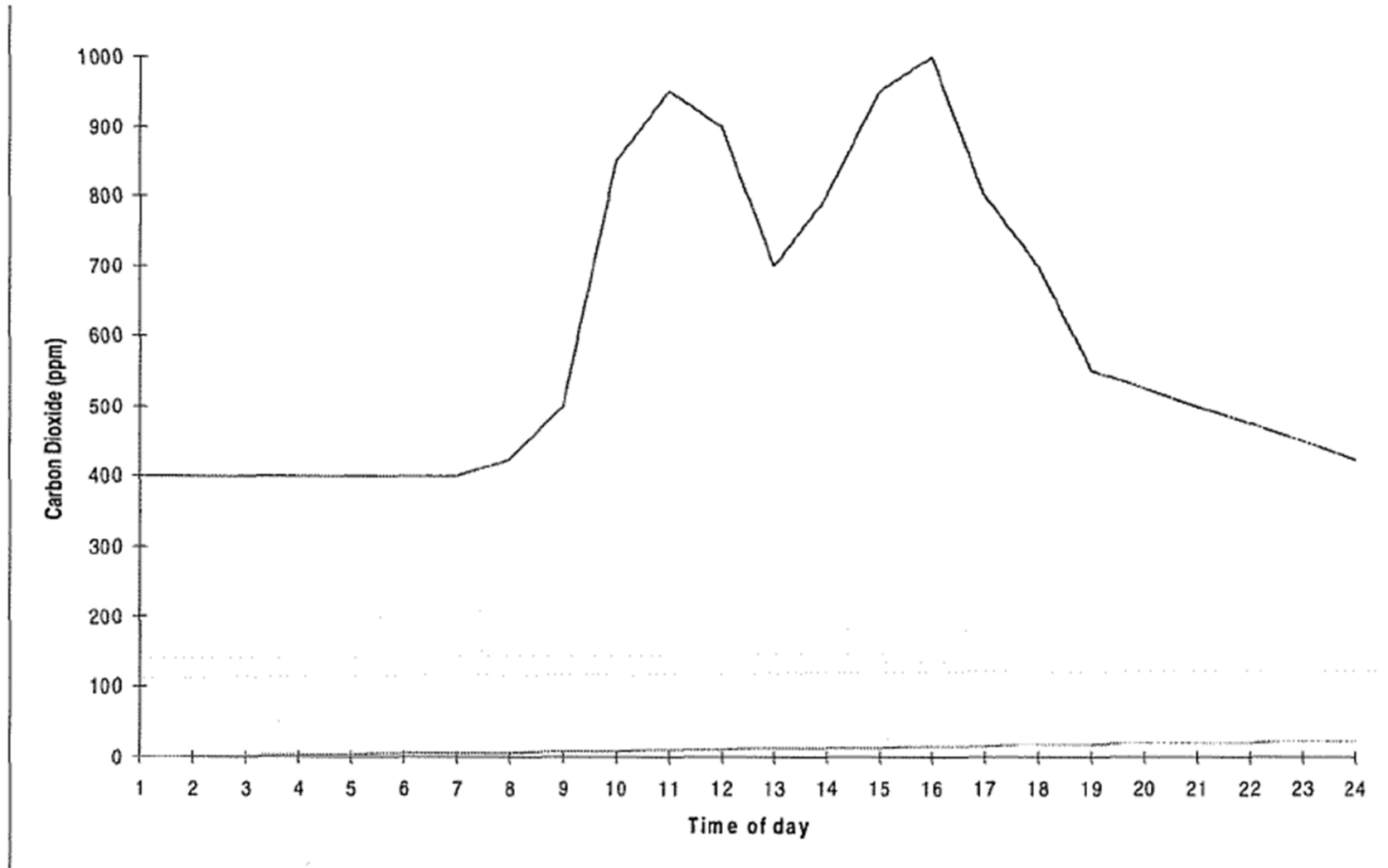


# Basic theory of CO<sub>2</sub>-ventilation relationships

---

- **Typical CO<sub>2</sub> concentration in relation to occupancy**
- **Steady State theoretical basis**
- **Indoor and outdoor sources of CO<sub>2</sub>**
- **Indoor – Outdoor (I-O) CO<sub>2</sub> relationships**
- **Variations in occupant generation rates- metabolism as a function of activity, gender, age, health status**
- **Steady state construct and reality in real world buildings**
- **Variable outdoor air concentrations**

# Lag time: Typical CO<sub>2</sub> concentration in relation to typical office building occupancy



# Indoor and outdoor sources of CO<sub>2</sub>

---

## OUTDOOR CO<sub>2</sub>

- CO<sub>2</sub> outdoor sources dominated by combustion processes
- CO<sub>2</sub> outdoors is removed by plants – concentrations in a forest can be 10s of ppm below global ave. concentrations
- Global average >390 ppm rising approximately 3 ppm/year
- Urban concentrations can be ~50 to 200 ppm higher than global average concentrations (425 – 600 ppm)

## INDOOR CO<sub>2</sub>

- People, animals exhale concentrations of 20 to 40 \* 10<sup>3</sup> ppm

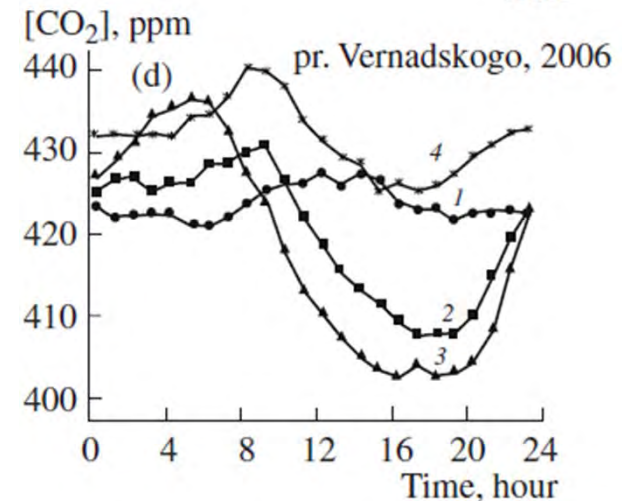
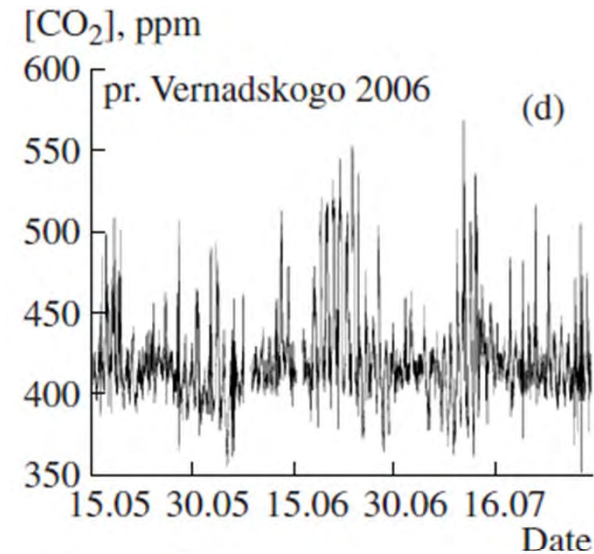
# Variable outdoor air concentrations 390-600 ppmv today!

## TIME DEPENDENCY

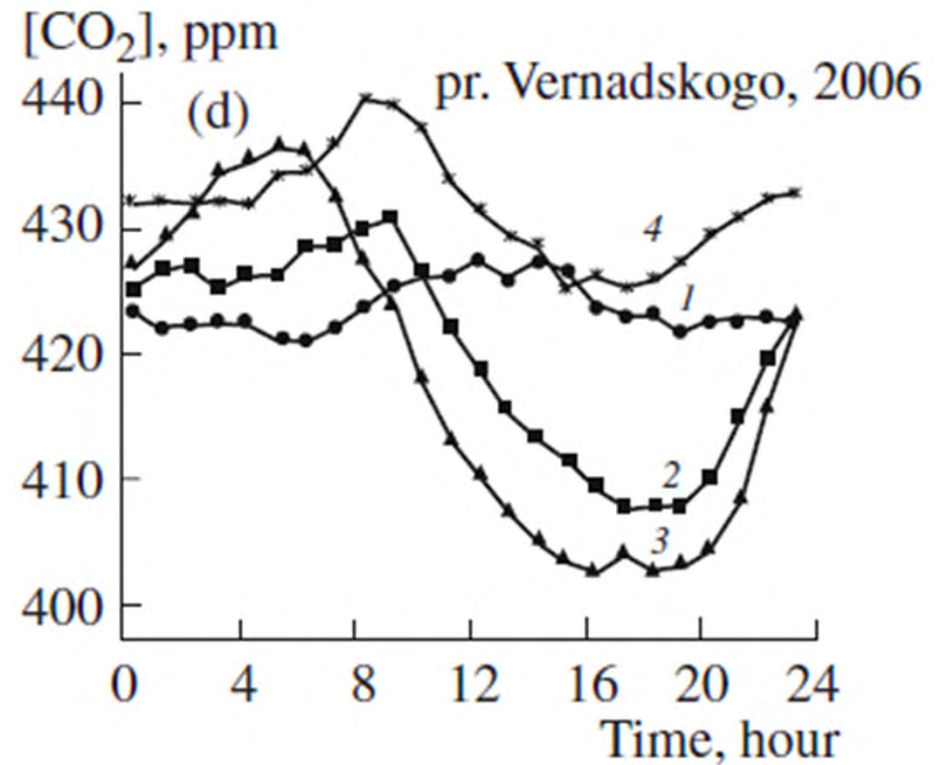
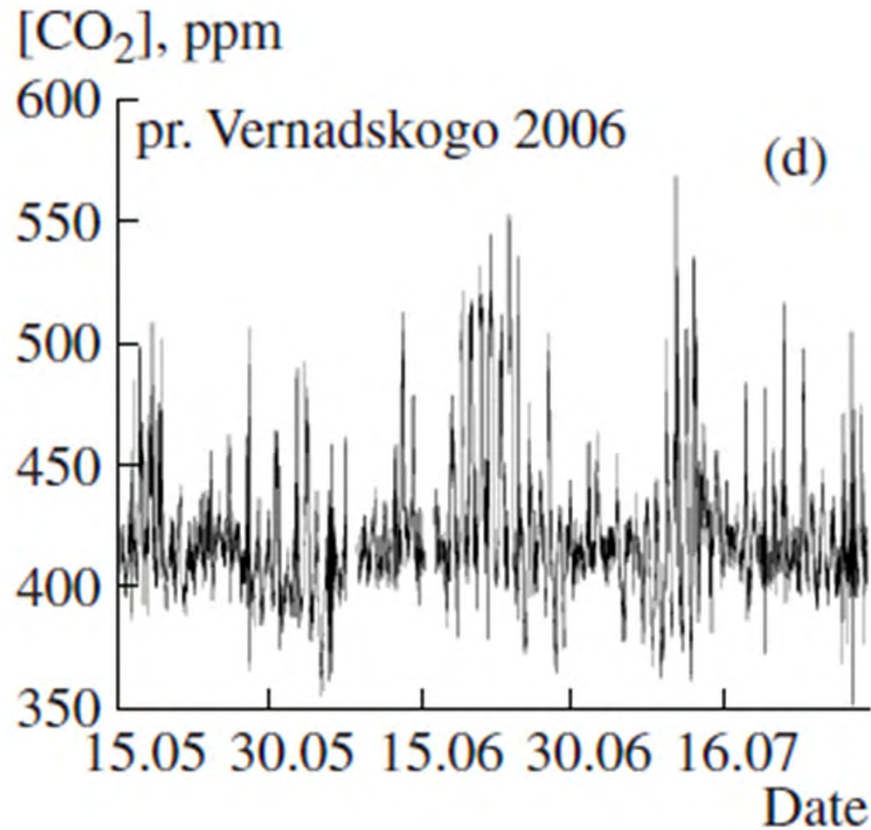
- Daily – traffic, power plants, process combustion
- Weekly – commuters, recreation
- Seasonal – plant growth, foliage; temperature, humidity, wind

## LOCATION DEPENDENCY

- Near power plants, other strong point sources
- Near roadways, parking lots
- Population density (urban-rural), building-roadway ratios
- Height above the ground
- Near dense forests (even urban)



# Time-, day-, and Season-dependent variation in outdoor CO<sub>2</sub>

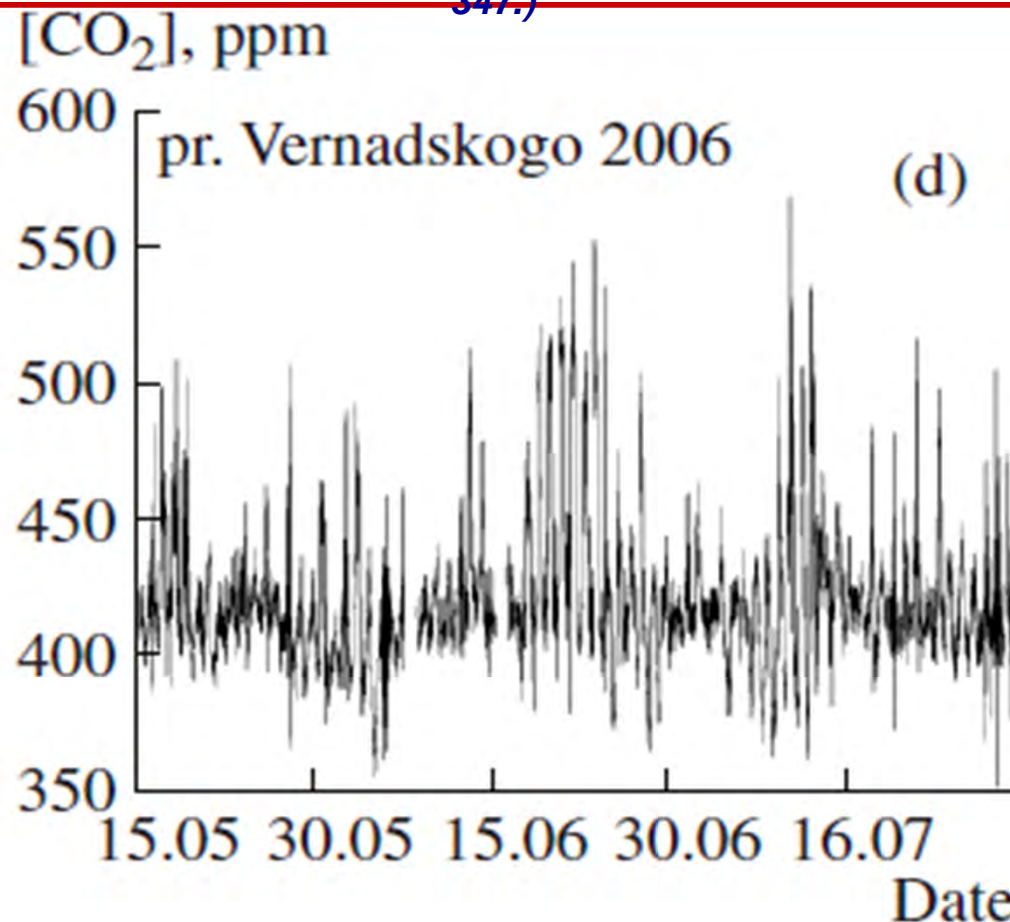


(1) winter, (2) spring,  
(3) summer, and (4) fall.



# “Typical” CO<sub>2</sub> concentrations in urban air?

(Gorchakov et al, 2008. *Izvestiya AN. Fizika Atmosfery i Okeana*, 2009, Vol. 45, No. 3, pp. 337–347.)



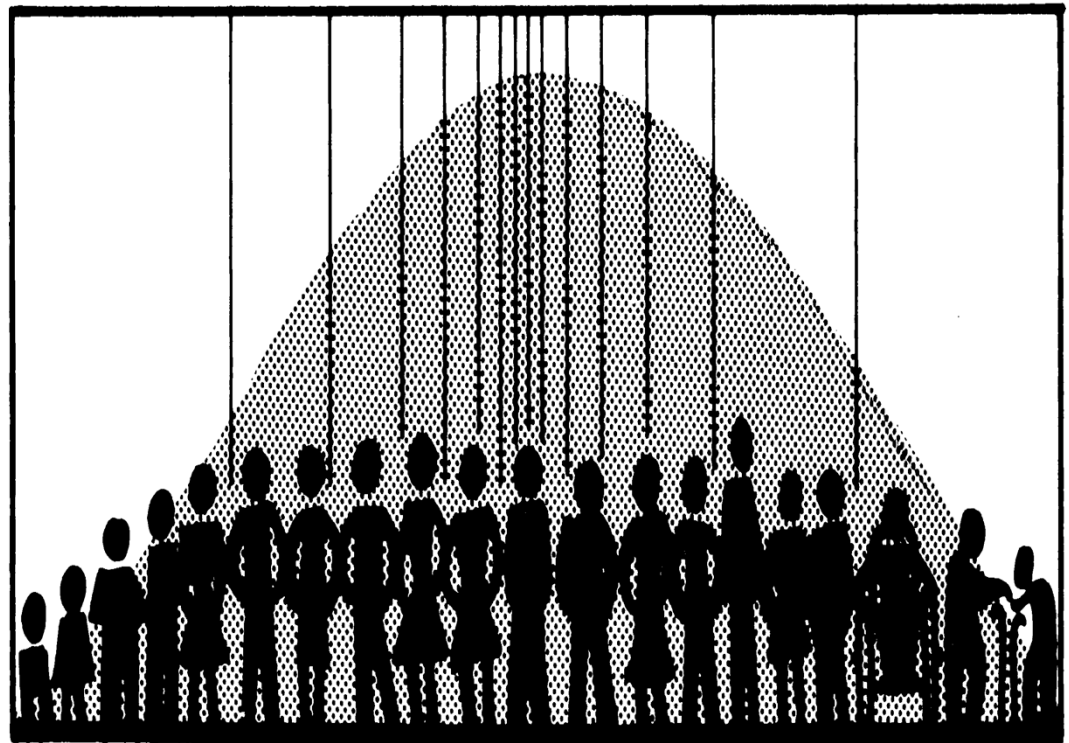
*It is evident from Fig. 1 that these concentrations vary widely and that the diurnal cycle and intradiurnal variability of concentrations are important for all of the gaseous components considered here. In particular, the range of intradiurnal variations of carbon dioxide concentration reaches 150 ppm with a noise component near  $\pm 5$  ppm.*

# Variations in occupant generation rates-

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## METABOLISM AS A FUNCTION OF...

- Activity level (metabolic rate)
- Diet (metabolic rate)
- Gender (?)
- Age (size?)
- Health status
- Stress (Wang, 1971, *ASHRAE Transactions*)



# Steady state construct and real world buildings

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*In summary*

***“Everything changes”***

- Suzuki Roshi
- (contemporary Zen master)

# Issues: use of CO<sub>2</sub> measurement devices

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- Requirements for satisfying quasi steady state conditions
- Accuracy and stability of sensors
- Calibration issues
- Portable vs. fixed monitors
- Multiple vs single sensor measurements
- Lag time, short time periods of activity, intermittent occupancy
- Special issue of high density occupancy
- Variability among measurement devices
- Use of CO<sub>2</sub> for Demand Controlled Ventilation (DCV)
- Approaches to correcting for problems

# **Accuracy of CO<sub>2</sub> Sensors in Commercial Buildings: A Pilot Study**

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Fisk, Faulkner, and Sullivan, 2006. LBNL Report 61862

**Many anecdotal reports of poor CO<sub>2</sub> sensor performance in actual commercial building applications.**

**Evaluated the accuracy of 44 CO<sub>2</sub> sensors located in nine commercial buildings to determine if CO<sub>2</sub> sensor performance, in practice, is generally acceptable or problematic.**

**CO<sub>2</sub> measurement errors varied widely, sometimes hundreds of ppm.**

**Despite its small size, study indicates accuracy of CO<sub>2</sub> sensors used in commercial buildings frequently less than needed to measure peak indoor-outdoor CO<sub>2</sub> concentration differences with less than a 20% error.**

**Conclusion: there is a need for more accurate CO<sub>2</sub> sensors and/or better sensor maintenance or calibration**



# Accuracy and stability of sensors

Shrestha et al, 2009. An Experimental Evaluation of HVAC Grade Carbon-Dioxide Sensors:

## Part 2, Performance Test Results

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- **Fifteen models of NDIR HVAC-grade CO<sub>2</sub> sensors were tested and evaluated to determine the accuracy, linearity, repeatability, and hysteresis of each sensor.**
- **The sensors were tested at 40% relative humidity, 73°F (22.8°C) temperature, 14.70 psia (101.35 kPa) pressure, and at five different CO<sub>2</sub> concentrations (400 ppm, 750 ppm, 1100 ppm, 1450 ppm, and 1800 ppm).**
- **The test results showed a wide variation in sensor performance among the various manufacturers and in some cases a wide variation among sensors of the same model.**

# Accuracy and stability of sensors

Shrestha, *ASHRAE Transactions, Pt.1, 2010*

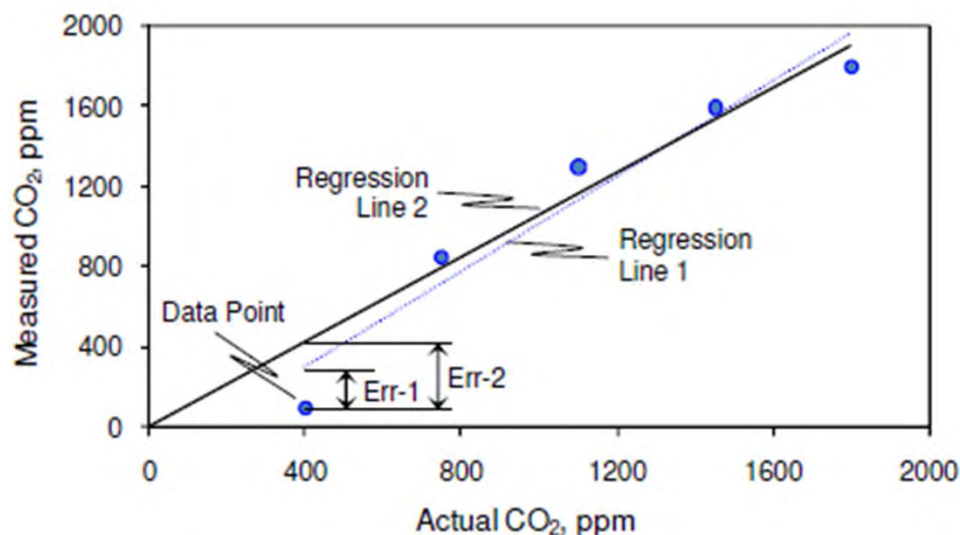
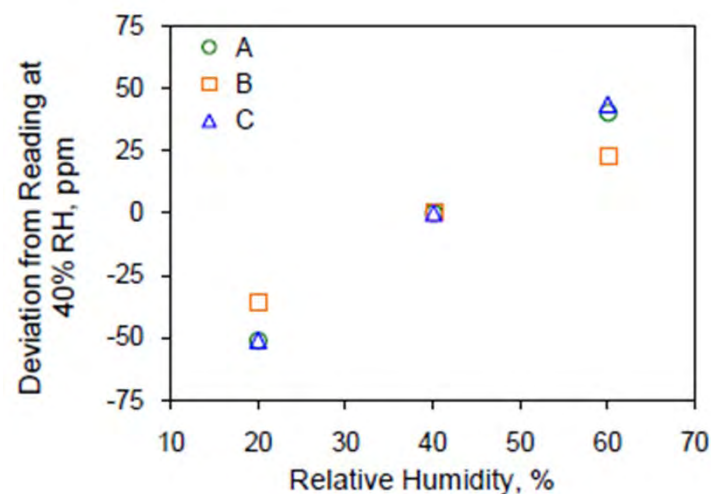
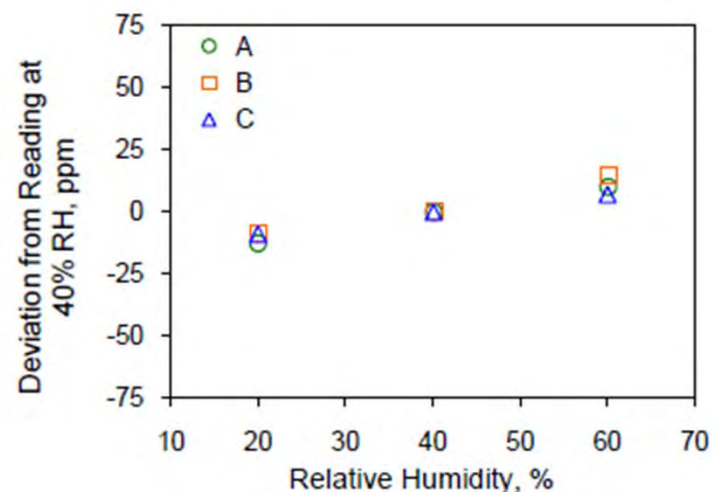


Figure 11. Illustration of linearity error of a CO<sub>2</sub> transmitter.

**None of the tested transmitter manufacturers reported humidity sensitivity**



# Calibration issues

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- **Portable handheld monitors are known to lose calibration Easily and must be re-calibrated frequently, perhaps daily, perhaps after any significant move or time has elapsed.**
- **The need for calibration makes the use of the portable, handheld devices as well as sensors used for DCV far less convenient and useful.**

# Where to measure ventilation or air flow?

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# Ventilation- air flow measurement



*Air capture hood for air flow measurement*



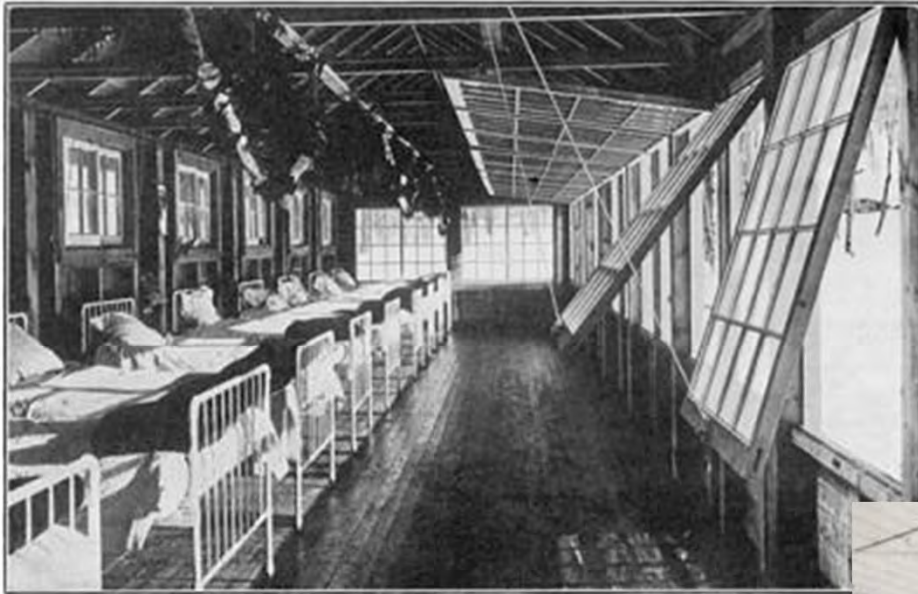
# Where to measure air flow?

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# Where to measure air flow?

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# What to measure?

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$CO_2$



*Air flow rate*



*ventilation rate*



*air flow direction*

# Interpretation of “Results”

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- How do we interpret results?
- What does 215 ACH really mean? How do we know the range.
- Is it good to have a lot of ACH part of the day and little the another part?
- Should we simply say poor, OK, and great (or a lot)?